

# NON-COLLUSION AFFIDAVIT

B-69

**SECTION B**  
**PART B TECHNICAL PROPOSAL AND**  
**STATEMENTS AND CERTIFICATIONS REGARDING ELIGIBILITY**

**NON-COLLUSION AFFIDAVIT**

It shall be understood that any offer submitted to the MBTA is made without collusion with any other Offeror submitting an offer on the same commodity/service, and is in all respects fair and without fraud.

Province of  
~~STATE OF~~

Quebec

Date: MAY 13, 2014

City of  
~~COUNTY OF~~

St-Bruno-de-Montarville S.S.

The undersigned being duly sworn, deposes and says that he/she is the

Thomas F. Faber

(Sole Owner; Partner, President, Treasurer, or Other Duty Authorized Official of a Corporation)

of Bombardier Transit Corporation

(Name of Firm as Appearing in Submitted Proposal)

and works in Horsham PA

(City/Town)

and certifies under penalties of perjury that this proposal is in all respects bona fide, fair and made without collusion or fraud with any other person. As used in this paragraph the word "person" shall mean any natural person, joint venture, partnership, corporation or other business or legal entity.

Thomas F. Faber

(Signature and Title of Person Making Affidavit)

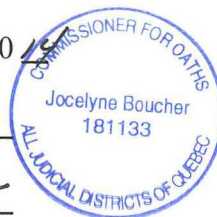
Business Development & Sales Executive

Sworn to before me this 13 day of May, 2014

~~Notary Public:~~

Commissioner of Oath

My commission expires: March 22, 2015



# CONFLICT OF INTEREST CERTIFICATION

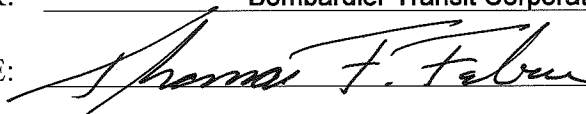
**SECTION B**  
**PART B TECHNICAL PROPOSAL AND**  
**STATEMENTS AND CERTIFICATIONS REGARDING ELIGIBILITY**

**CONFLICT OF INTEREST CERTIFICATION**

The undersigned hereby certifies that the Offeror shall comply with the Massachusetts Conflict of Interest Laws, M.G.L. c. 268A, and with the Conflict of Interest terms stated in Section C7.03.

OFFEROR: Bombardier Transit Corporation

AUTHORIZED SIGNATURE:



NAME (PRINTED): Thomas F. Faber

TITLE: Business Development & Sales Executive

DATE: May 13, 2014

# ASSURANCE OF ADHERENCE

B-71

**SECTION B**  
**PART B TECHNICAL PROPOSAL AND**  
**STATEMENTS AND CERTIFICATIONS REGARDING ELIGIBILITY**

**ASSURANCE OF ADHERENCE**

By execution below, the Offeror hereby offers to furnish equipment and services in accordance with the Contract as indicated herein:

OFFEROR: Bombardier Transit Corporation

AUTHORIZED SIGNATURE: Thomas F. Faber

NAME (PRINTED): Thomas F. Faber  
Business Development  
TITLE: & Sales Executive

Julie Turgeon  
Vice-President,  
Contracts & Legal Affairs

DATE: May 13, 2014

May 13, 2014

# PERFORMANCE GUARANTEE

B-72

**SECTION B**  
**PART B TECHNICAL PROPOSAL AND**  
**STATEMENTS AND CERTIFICATIONS REGARDING ELIGIBILITY**

**PERFORMANCE GUARANTEE**

The undersigned hereby certifies that the Offeror shall provide a Performance Guarantee in the form of an Irrevocable Stand-By Letter of Credit in the amount of Thirty Percent (30%) of the Total Base Contract Price in accordance with Section C3.03B. Statement from Banking Institute to be attached herein. The form of the letter provided by the Banking Institute shall be in substantial form as set forth in Section E.

OFFEROR: Bombardier Transit Corporation

AUTHORIZED SIGNATURE: Thomas F. Faber

NAME (PRINTED): Thomas F. Faber

Business Development  
& Sales Executive

TITLE:

DATE: May 13, 2014

Julie Turgeon

Vice-President, Contracts  
& Legal Affairs

May 13, 2014

Commerzbank AG, Luxembourg Branch, L-2013 Luxembourg

**Luxembourg Branch  
Loan Administration  
Credit Agency Services**

MASSACHUSETTS BAY TRANSPORTATION AUTHORITY  
Materials Management Department Room 2810  
10 Park Plaza  
Boston, Massachusetts 02116

**Ulrike Meinlschmidt**  
Postal address: Postbox 303  
L-2013 Luxembourg  
Office: 25, rue Edward Steichen  
L-2540 Luxembourg  
Phone +352 477 911 - 2276  
Fax +352 477 911 - 3905  
[ulrike.meinlschmidt@commerzbank.com](mailto:ulrike.meinlschmidt@commerzbank.com)

April 8, 2014

**Subject: MBTA RFP No CAP-27-10 New Orange and Red Line Vehicles**

Dear Sirs,

Please be advised that Bombardier Transit Corporation (the "Company") is a subsidiary of the Bombardier Transportation Group of Companies, belonging to Bombardier Inc., a Canadian corporation.

We hereby inform you that unutilized letter of credit lines, available through a EUR 3,500,000,000 syndicated Letter of Credit Facility (the "Letter of Credit Facility"), for which Commerzbank AG, Luxembourg Branch, is the Facility Agent, can be made available to the Bombardier Transportation Group of Companies for the continuous financing of its general corporate purposes.

We confirm that subject to the terms of the Letter of Credit Facility agreement, the Company can obtain access to the Letter of Credit Facility for the benefit of the Massachusetts Bay Transportation Authority. Such letter of credit and any actions arising under such letter of credit will be governed by the laws of the state and country of the U.S. branch of the Eligible Bank.

We hope to have been of service to you with this information.

For the sake of good order, we wish to point out that, in accordance with business practice, we are making such statements without any responsibility on our part.

Yours sincerely

Commerzbank AG, Luxembourg Branch



# INSURANCE REQUIREMENTS

B-73

**SECTION B**  
**PART B TECHNICAL PROPOSAL AND**  
**STATEMENTS AND CERTIFICATIONS REGARDING ELIGIBILITY**

**INSURANCE REQUIREMENTS**

The undersigned hereby certify that the Offeror shall provide full compliance with the Insurance Requirements provision in accordance with Section C3.05.

OFFEROR: Bombardier Transit Corporation

AUTHORIZED SIGNATURE: Thomas F. Faber

NAME (PRINTED): Thomas F. Faber

TITLE: Business Development  
& Sales Executive

DATE: May 13, 2014

Julie Turgeon

Vice-President,  
Contracts & Legal Affairs

May 13, 2014

# DOMESTIC U.S. CONTENT REQUIREMENTS & WORKSHEET

B-74 to B-75

**SECTION B**  
**PART B TECHNICAL PROPOSAL AND**  
**STATEMENTS AND CERTIFICATIONS REGARDING ELIGIBILITY**

**DOMESTIC U.S. CONTENT REQUIREMENT**

The Offeror hereby certifies that it will comply with the Domestic U.S. Content Requirements set forth in Section C7.17.

NOTE: If the Offeror fails to demonstrate that it is in compliance with the Domestic U.S. Content Requirements set forth in Section C7.17, it will be required to take the necessary steps in order to achieve compliance. If the Offeror takes these necessary steps, it will not be allowed to change its original offered price or the price of its final offer. If an Offeror does not take the necessary steps, it will not be awarded the contract. Once the Contract has been awarded, the Contractor's failure to take the necessary steps will be considered a breach of the Contract.

OFFEROR: Bombardier Transit Corporation

AUTHORIZED SIGNATURE: Thomas F. Faber

NAME (PRINTED): Thomas F. Faber

Julie Turgeon

TITLE: Business Development  
& Sales Executive

Vice-President,  
Contracts & Legal Affairs

DATE: May 13, 2014

May 13, 2014

## SECTION B

**PART B TECHNICAL PROPOSAL AND  
STATEMENT'S AND CERTIFICATIONS REGARDING ELIGIBILITY****DOMESTIC U.S. CONTENT WORKSHEET**

At least 60% of the total cost of rolling stock components must be Domestic U.S. Content or covered by a current FTA waiver as provided in Section C7.17.

**Components**

<b>Component (Name / Description)</b>	<b>Manufacturer (Name / Address)</b>	<b>Domestic / Foreign</b>	<b>Covered by Current FTA Waiver?</b>	<b>% of Domestic Content</b>
Traction Motor	<b>Bombardier Transportation (Holdings) USA Inc.</b> 1501 Lebanon Church Rd, Pittsburgh, PA 15236-1491	Domestic	N	<u>6.41</u> % of Total Content
Gear Unit	<b>Bombardier Transportation (Holdings) USA Inc.</b> 1501 Lebanon Church Rd, Pittsburgh, PA 15236-1491	Domestic	N	<u>4.27</u> % of Total Content
Interior Panel	<b>Acro Industries</b> 554 Colfax St Rochester NY 14606	Domestic	N	<u>6.91</u> % of Total Content
Braking Resistors	<b>Bombardier Transportation (Holdings) USA Inc.</b> 1501 Lebanon Church Rd, Pittsburgh, PA 15236-1491	Domestic	N	<u>0.43</u> % of Total Content
Propulsion Controls	<b>Bombardier Transportation (Holdings) USA Inc.</b> 1501 Lebanon Church Rd, Pittsburgh, PA 15236-1491	Domestic	N	<u>10.25</u> % of Total Content
APS	<b>Transtech Corp. USA</b> 2220 Airport Industrial Drive – Suite 900 Ball Ground, GA 30107	Domestic	N	<u>2.27</u> % of Total Content
HVAC	<b>Mitsubishi Electric Power Products, Inc.</b> 530 Keystone Dr, Warrendale, PA 15086-7538	Domestic	N	<u>5.58</u> % of Total Content
Brake Controls	<b>Wabtec Passenger Transit</b> 130 Ridgeview Center Dr Duncan, SC 29334	Domestic	N	<u>3.30</u> % of Total Content

Foundation Brake	<b>Wabtec Passenger Transit</b> 130 Ridgeview Center Dr Duncan, SC 2933429304-0011	Domestic	N	<u>1.41</u>	% of Total Content
ATC	<b>Ansaldo STS USA inc. (US&amp;S)</b> 1000 Technology Drive, Pittsburgh, PA 15219-3120	Domestic	N	<u>3.98</u>	% of Total Content
Windows	<b>Oran Safety Glass (OSG)</b> 48 Industrial Park Way Emporia, VA 23847	Domestic	N	<u>0.57</u>	% of Total Content
Lighting	<b>TDG Transit Design Group</b> 4450 Witmer Indus Estates Dr. Niagara Falls, NY 14305	Domestic	N	<u>1.68</u>	% of Total Content
Seating (Passenger)	<b>Freedman Seating Co.</b> 4545 W. Augusta Blvd Chicago, IL 60651	Domestic	N	<u>0.97</u>	% of Total Content
Coupler and Draft Gear	<b>Wabtec Passenger Transit</b> 130 Ridgeview Center Dr Duncan, SC 29334	Domestic	N	<u>3.25</u>	% of Total Content
Truck	<b>Bombardier Transit Corporation</b> 101 Gibraltar Rd, Suite 112 Horsham, PA 19044	Domestic	N	<u>0.56</u>	% of Total Content
Axle	<b>UTCRA</b> 501 Highland Ave. Morton, PA 19070	Domestic	N	<u>2.10</u>	% of Total Content
TCMS	<b>Bombardier Transportation (Holdings) USA Inc.</b> 1501 Lebanon Church Rd, Pittsburgh, PA 15236-1491	Domestic	Y	<u>1.76</u>	% of Total Content
End Bonnet	<b>McClarín Plastics, Inc.</b> 15 Industrial Drive, Hanover, PA 17331	Domestic	N	<u>0.59</u>	% of Total Content
Floor Panels	<b>Milwaukee Composites</b> 6055 S Pennsylvania Ave Cudahy, WI 53110	Domestic	N	<u>0.70</u>	% of Total Content
Hardware	Various	Domestic	N	<u>0.41</u>	% of Total Content
Harness	Various	Domestic	N	<u>1.09</u>	% of Total Content

Operator Seat	<b>Seats Inc.</b> 1515 Industrial St, Reedsburg, WI 53959	Domestic	N	<u>0.13</u> % of Total Content
Others	Various	Domestic	N	<u>2.00</u> % of Total Content

(Attach additional sheets as necessary.)

**TOTAL 60.62% Domestic Content of Total Cost of Rolling Stock Components**

# **FINAL ASSEMBLY OF PRODUCTION VEHICLES IN MASSACHUSETTS**

**SECTION B**  
**PART B TECHNICAL PROPOSAL AND**  
**STATEMENTS AND CERTIFICATIONS REGARDING ELIGIBILITY**

**FINAL ASSEMBLY OF PRODUCTION (NON-PILOT) VEHICLES IN MASSACHUSETTS**

The undersigned hereby certifies that it will comply with the requirement of Section C7.18 with regard to Final Assembly of Production (Non-Pilot) Vehicles in Massachusetts.

If the undersigned's anticipated final assembly operations, processes and measures it will use in connection with the Production Vehicles delivered under the Contract differ from or do not include at a minimum all operations, processes and measures listed in the definition of Final Assembly in Section C7.18, the undersigned certifies that it has included in its proposal an explanation as to why the undersigned believes that its final assembly satisfies the general requirement of final assembly of all Production Vehicles in Massachusetts, and seeks a determination from the Authority that its proposal satisfies the general requirement in Section C7.18 that final assembly of all production vehicles take place in Massachusetts. The undersigned understands that its proposal may be rejected by the Authority as non-compliant.

OFFEROR: Bombardier Transit Corporation

AUTHORIZED SIGNATURE: Thomas F. Faber

NAME (PRINTED): Thomas F. Faber

TITLE: Business Development  
& Sales Executive

DATE: May 13, 2014

Julie Turgeon

Vice-President,  
Contracts & Legal Affairs

May 13, 2014

# SECURITY REQUIREMENTS CERTIFICATION

B-77

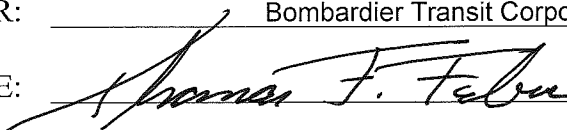
**SECTION B**  
**PART B TECHNICAL PROPOSAL AND**  
**STATEMENTS AND CERTIFICATIONS REGARDING ELIGIBILITY**

**SECURITY REQUIREMENTS CERTIFICATION**

The undersigned hereby certifies that the Offeror, if awarded this Contract, shall comply with the MBTA's Security Requirements as stated in Section C7.11 of the Agreement.

OFFEROR: Bombardier Transit Corporation

AUTHORIZED SIGNATURE:



NAME (PRINTED): Thomas F. Faber

TITLE: Business Development & Sales Executive

DATE: May 13, 2014

# **RIGHT-OF-WAY SAFETY TRAINING REQUIREMENTS CERTIFICATION**

B-78

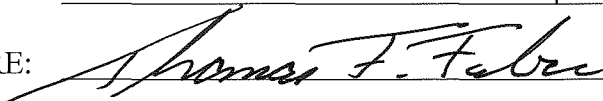
**SECTION B**  
**PART B TECHNICAL PROPOSAL AND**  
**STATEMENTS AND CERTIFICATIONS REGARDING ELIGIBILITY**

**RIGHT-OF-WAY SAFETY TRAINING REQUIREMENTS CERTIFICATION**

The undersigned hereby certifies that the Offeror, if awarded this Contract, shall be in full compliance with the MBTA Right-of-Way Safety Awareness Training Requirements as stated in the Agreement.

OFFEROR: Bombardier Transit Corporation

AUTHORIZED SIGNATURE:



NAME (PRINTED): Thomas F. Faber

TITLE: Business Development & Sales Executive

DATE: May 13, 2014

# **CERTIFICATION REGARDING DEBARMENT, SUSPENSION & OTHER RESPONSIBILITY MATTERS**

B-79

**SECTION B**  
**PART B TECHNICAL PROPOSAL AND**  
**STATEMENTS AND CERTIFICATIONS REGARDING ELIGIBILITY**

**CERTIFICATION REGARDING DEBARMENT, SUSPENSION**  
**AND OTHER RESPONSIBILITY MATTERS**

The Offeror certifies to the best of its knowledge and belief, that it, and its principals:

1. Are not presently debarred, suspended, proposed for debarment, declared ineligible or voluntarily excluded from covered transactions by any Federal, State or local department or agency.
2. Have not within a three-year period preceding this offer been convicted of or had a civil judgment rendered against them for commission of fraud or a criminal offense in connection with obtaining, attempting to obtain, or performing a public (Federal, State or local) transaction or contract under a public transaction; violation of Federal or State antitrust statutes or commission of embezzlement, theft, forgery, bribery, falsification or destruction of records, making false statements, or receiving stolen property;
3. Are not presently indicted for or otherwise criminally charged by a governmental entity (Federal, State or local) with commission of any of the offenses enumerated in paragraph (2) of this certification; and
4. Have not within a three-year period preceding this offer had one or more public transactions (Federal, State or local) terminated for cause of default.

If the Offeror is unable to certify to any of the statements in this certification with respect to it or its principals, the Offeror shall attach an explanation to this certification).

**THE OFFEROR CERTIFIES OR AFFIRMS THE TRUTHFULNESS AND ACCURACY OF THE CONTENTS OF THE STATEMENTS SUBMITTED ON OR WITH THIS CERTIFICATION.**

Offeror:

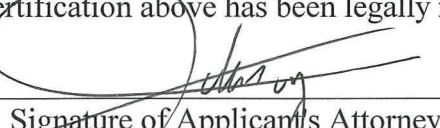


Business Development & Sales Executive

Signature and Title of Authorized Official

The undersigned <sup>V-P, Contracts & Legal Affairs</sup>~~chief legal counsel~~ for the Bombardier Transit Corporation hereby certifies  
(Offeror)

that the Thomas F. Faber has authority under State and local law to comply with  
(Authorized Official)  
the subject assurances and that the certification above has been legally made.



Signature of Applicant's Attorney

May 13, 2014

Date

# **CERTIFICATION REGARDING DEBARMENT, SUSPENSION & OTHER RESPONSIBILITY MATTERS FOR LOWER TIER PARTICIPANT**

B-81 to B-84

**SECTION B**  
**PART B TECHNICAL PROPOSAL AND**  
**STATEMENTS AND CERTIFICATIONS REGARDING ELIGIBILITY**

**CERTIFICATION REGARDING DEBARMENT, SUSPENSION AND**  
**OTHER RESPONSIBILITY MATTERS FOR LOWER TIER PARTICIPANT**

The Offeror, as the Primary Participant, hereby certifies that the attached Lower Tier Participant Certification Regarding Debarment, Suspension and Other Responsibility Matters, as noted on the two following pages, shall be duly executed, and shall remain on file with the Offeror in accordance with the Contract Provisions as if stated herein.

OFFEROR: \_\_\_\_\_ Bombardier Transit Corporation \_\_\_\_\_

AUTHORIZED SIGNATURE: \_\_\_\_\_

NAME (PRINTED): Thomas F. Faber

Julie Turgeon

TITLE: Business Development  
& Sales Executive

Vice-President,  
Contracts & Legal Affairs

DATE: May 13, 2014

May 13, 2014

**SECTION B**  
**PART B TECHNICAL PROPOSAL AND**  
**STATEMENTS AND CERTIFICATIONS REGARDING ELIGIBILITY**

**CERTIFICATION REGARDING DEBARMENT, SUSPENSION AND**  
**OTHER RESPONSIBILITY MATTERS FOR LOWER TIER PARTICIPANT**

The Lower Tier Participant certifies by submission of this proposal, that neither it nor its principals are presently debarred, suspended, proposed for debarment, declared ineligible, or voluntarily excluded from covered transactions by any Federal, State or local department or agency.

When the Lower Tier Participant is unable to certify to any of the statements in this certification, such Participant shall attach an explanation to this proposal.

**THE LOWER TIER PARTICIPANT CERTIFIES OR AFFIRMS THE TRUTHFULNESS AND ACCURACY OF THE CONTENTS OF THE STATEMENTS SUBMITTED ON OR WITH THIS CERTIFICATION.**

LOWER TIER PARTICIPANT:

ABB INC

AUTHORIZED SIGNATURE:

Appld for Jeff Young

NAME (PRINTED):

Jeff Young

TITLE:

Chief Counsel Power Systems Division N America

DATE:

January 29, 2014

## **Prepared in reference to: MBTA**

### **Introduction**

ABB Inc. is an indirect, wholly-owned subsidiary of ABB Ltd., a Swiss Corporation headquartered in Zurich, Switzerland. ABB Ltd is a foreign issuer subject to regulation by the U.S. Securities and Exchange Commission and is a diversified power and automation products and services group with annual revenue in excess of 32 BUSD and more than 1000 subsidiary and affiliated companies operating in over 100 countries.

The response set forth below to all bid materials, certifications and questionnaires are limited to ABB Inc., and its U.S.-based ABB Divisions, subsidiaries and affiliates, as applicable. The only exception to the limitation on the scope of this explanation is in those instances where information on a non-U.S. ABB Company has been provided since it is or has been the target of an enforcement action by a U.S. government entity.

### **Description of Settlement with the Department of Justice**

#### **I. Background**

On September 30, 2010 ABB settled anti-bribery investigations conducted by DOJ and SEC in which ABB Ltd and ABB Inc. has paid a total of \$58.3 million in disgorgement, prejudgment interest and penalties to resolve charges arising from payments related to projects in Mexico and for issues with other ABB Ltd subsidiaries' involvement in the Oil for Food program.

ABB Inc. discovered the payments related to the projects in Mexico on its own, and in turn ABB Ltd. "self-reported" them to the Department of Justice and the SEC beginning in 2005.

The charges involved ABB Inc.'s Network Management business unit in Sugar Land, Texas. It did not involve any other ABB Inc. business units. The specific allegations against Network Management arise from contracts in Mexico from 1997 to 2005

The allegations against ABB Ltd. arose from certain of its subsidiaries performing contracts under the U.N. Oil for Food program in Iraq from 2000-2004.

After ABB Inc. and ABB Ltd self-reported the conduct in question, a lengthy investigation began that concluded with the settlement set out below.

#### **II. Guilty Plea**

As a result of the findings of this investigation, ABB Inc. pled guilty to one count of conspiracy to violate the anti-bribery provisions of the U.S. Foreign Corrupt Practices Act ("FCPA") and one count of violating the anti-bribery provision of the FCPA. ABB

Ltd also agreed to a deferred prosecution agreement, which includes provisions related to the involvement of an ABB Ltd. subsidiary in Jordan in the Oil for Food program.

In the related SEC proceeding, ABB Ltd settled civil charges of FCPA bribery, books and records, and internal controls violations based on the conduct of the Sugar Land business unit and the conduct of certain subsidiaries participating in the Oil for Food program.

At all times, ABB cooperated fully with the DOJ and SEC. ABB also has put in place a global comprehensive compliance and integrity program, a summary of which can be provided upon request, that the DOJ has said “may become a benchmark for the industry.”

In lieu of an external compliance monitor, the DOJ and SEC have agreed to allow ABB to report on its continuing compliance efforts and the results of the review of its internal processes for a three-year period going forward.

Importantly, the DOJ settlement expressly recognizes ABB’s “extraordinary cooperation” and “extensive investigation” in regards to this matter. Five employees identified as having taken part in the acts alleged were either terminated or otherwise are no longer with the company.

End of Explanation

**SECTION B**  
**PART B TECHNICAL PROPOSAL AND**  
**STATEMENTS AND CERTIFICATIONS REGARDING ELIGIBILITY**

**CERTIFICATION REGARDING DEBARMENT, SUSPENSION AND**  
**OTHER RESPONSIBILITY MATTERS FOR LOWER TIER PARTICIPANT**

The Lower Tier Participant certifies by submission of this proposal, that neither it nor its principals are presently debarred, suspended, proposed for debarment, declared ineligible, or voluntarily excluded from covered transactions by any Federal, State or local department or agency.

When the Lower Tier Participant is unable to certify to any of the statements in this certification, such Participant shall attach an explanation to this proposal.

**THE LOWER TIER PARTICIPANT CERTIFIES OR AFFIRMS THE TRUTHFULNESS AND ACCURACY OF THE CONTENTS OF THE STATEMENTS SUBMITTED ON OR WITH THIS CERTIFICATION.**

LOWER TIER PARTICIPANT: Ansaldo STS USA, Inc

AUTHORIZED SIGNATURE:

NAME (PRINTED): Marco Fumagalli

TITLE: President & CEO

DATE:

3/10/2014

**SECTION B**  
**PART B TECHNICAL PROPOSAL AND**  
**STATEMENTS AND CERTIFICATIONS REGARDING ELIGIBILITY**

**CERTIFICATION REGARDING DEBARMENT, SUSPENSION AND**  
**OTHER RESPONSIBILITY MATTERS FOR LOWER TIER PARTICIPANT**

The Lower Tier Participant certifies by submission of this proposal, that neither it nor its principals are presently debarred, suspended, proposed for debarment, declared ineligible, or voluntarily excluded from covered transactions by any Federal, State or local department or agency.

When the Lower Tier Participant is unable to certify to any of the statements in this certification, such Participant shall attach an explanation to this proposal.

**THE LOWER TIER PARTICIPANT CERTIFIES OR AFFIRMS THE TRUTHFULNESS AND ACCURACY OF THE CONTENTS OF THE STATEMENTS SUBMITTED ON OR WITH THIS CERTIFICATION.**

LOWER TIER PARTICIPANT: Axion Technologies

AUTHORIZED SIGNATURE: 

NAME (PRINTED): Carl Cassista P.Eng.

TITLE: President

DATE: 2014-04-08

**SECTION B**  
**PART B TECHNICAL PROPOSAL AND**  
**STATEMENTS AND CERTIFICATIONS REGARDING ELIGIBILITY**

**CERTIFICATION REGARDING DEBARMENT, SUSPENSION AND**  
**OTHER RESPONSIBILITY MATTERS FOR LOWER TIER PARTICIPANT**

The Lower Tier Participant certifies by submission of this proposal, that neither it nor its principals are presently debarred, suspended, proposed for debarment, declared ineligible, or voluntarily excluded from covered transactions by any Federal, State or local department or agency.

When the Lower Tier Participant is unable to certify to any of the statements in this certification, such Participant shall attach an explanation to this proposal.

**THE LOWER TIER PARTICIPANT CERTIFIES OR AFFIRMS THE TRUTHFULNESS AND ACCURACY OF THE CONTENTS OF THE STATEMENTS SUBMITTED ON OR WITH THIS CERTIFICATION.**

LOWER TIER PARTICIPANT:

BACH-SIMPSON

AUTHORIZED SIGNATURE:

NAME (PRINTED):

PAUL WEBER

TITLE:

GENERAL MANAGER

DATE:

April 9, 2014

**SECTION B**  
**PART B TECHNICAL PROPOSAL AND**  
**STATEMENTS AND CERTIFICATIONS REGARDING ELIGIBILITY**

**CERTIFICATION REGARDING DEBARMENT, SUSPENSION AND**  
**OTHER RESPONSIBILITY MATTERS FOR LOWER TIER PARTICIPANT**

The Lower Tier Participant certifies by submission of this proposal, that neither it nor its principals are presently debarred, suspended, proposed for debarment, declared ineligible, or voluntarily excluded from covered transactions by any Federal, State or local department or agency.

When the Lower Tier Participant is unable to certify to any of the statements in this certification, such Participant shall attach an explanation to this proposal.

**THE LOWER TIER PARTICIPANT CERTIFIES OR AFFIRMS THE TRUTHFULNESS AND ACCURACY OF THE CONTENTS OF THE STATEMENTS SUBMITTED ON OR WITH THIS CERTIFICATION.**

LOWER TIER PARTICIPANT: BAULTAR CONCEPT INC

AUTHORIZED SIGNATURE: \_\_\_\_\_

NAME (PRINTED): ALEXANDRE TARANTO

TITLE: SECRETARY

DATE: 2014-01-09

**SECTION B**  
**PART B TECHNICAL PROPOSAL AND**  
**STATEMENTS AND CERTIFICATIONS REGARDING ELIGIBILITY**

**CERTIFICATION REGARDING DEBARMENT, SUSPENSION AND**  
**OTHER RESPONSIBILITY MATTERS FOR LOWER TIER PARTICIPANT**

The Lower Tier Participant certifies by submission of this proposal, that neither it nor its principals are presently debarred, suspended, proposed for debarment, declared ineligible, or voluntarily excluded from covered transactions by any Federal, State or local department or agency.

When the Lower Tier Participant is unable to certify to any of the statements in this certification, such Participant shall attach an explanation to this proposal.

**THE LOWER TIER PARTICIPANT CERTIFIES OR AFFIRMS THE TRUTHFULNESS AND ACCURACY OF THE CONTENTS OF THE STATEMENTS SUBMITTED ON OR WITH THIS CERTIFICATION.**

LOWER TIER PARTICIPANT: Bombardier Transportation (Holdings) USA, Inc.

AUTHORIZED SIGNATURE: 

NAME (PRINTED): A.F. Jost

TITLE: Vice President, Sales, NAFTA

DATE: March 21, 2014

**SECTION B**  
**PART B TECHNICAL PROPOSAL AND**  
**STATEMENTS AND CERTIFICATIONS REGARDING ELIGIBILITY**


**CERTIFICATION REGARDING DEBARMENT, SUSPENSION AND**  
**OTHER RESPONSIBILITY MATTERS FOR LOWER TIER PARTICIPANT**

The Lower Tier Participant certifies by submission of this proposal, that neither it nor its principals are presently debarred, suspended, proposed for debarment, declared ineligible, or voluntarily excluded from covered transactions by any Federal, State or local department or agency.

When the Lower Tier Participant is unable to certify to any of the statements in this certification, such Participant shall attach an explanation to this proposal.

**THE LOWER TIER PARTICIPANT CERTIFIES OR AFFIRMS THE TRUTHFULNESS AND ACCURACY OF THE CONTENTS OF THE STATEMENTS SUBMITTED ON OR WITH THIS CERTIFICATION.**

LOWER TIER PARTICIPANT: CORYS T.E.S.S.

AUTHORIZED SIGNATURE: 

NAME (PRINTED): Ralf GATHMANN

TITLE: CEO

DATE: 12 February 2014

**SECTION B**  
**PART B TECHNICAL PROPOSAL AND**  
**STATEMENTS AND CERTIFICATIONS REGARDING ELIGIBILITY**

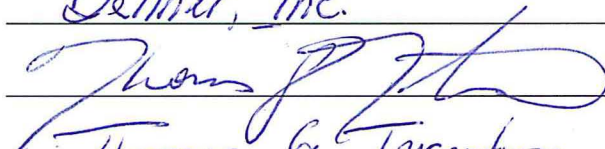
**CERTIFICATION REGARDING DEBARMENT, SUSPENSION AND**  
**OTHER RESPONSIBILITY MATTERS FOR LOWER TIER PARTICIPANT**

The Lower Tier Participant certifies by submission of this proposal, that neither it nor its principals are presently debarred, suspended, proposed for debarment, declared ineligible, or voluntarily excluded from covered transactions by any Federal, State or local department or agency.

When the Lower Tier Participant is unable to certify to any of the statements in this certification, such Participant shall attach an explanation to this proposal.

**THE LOWER TIER PARTICIPANT CERTIFIES OR AFFIRMS THE TRUTHFULNESS AND ACCURACY OF THE CONTENTS OF THE STATEMENTS SUBMITTED ON OR WITH THIS CERTIFICATION.**

LOWER TIER PARTICIPANT: Dellner, Inc.

AUTHORIZED SIGNATURE: 

NAME (PRINTED): Thomas G. Tarantino

TITLE: President

DATE: April 9<sup>th</sup>, 2014

**SECTION B**  
**PART B TECHNICAL PROPOSAL AND**  
**STATEMENTS AND CERTIFICATIONS REGARDING ELIGIBILITY**

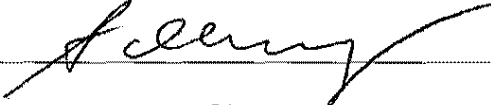
**CERTIFICATION REGARDING DEBARMENT, SUSPENSION AND**  
**OTHER RESPONSIBILITY MATTERS FOR LOWER TIER PARTICIPANT**

The Lower Tier Participant certifies by submission of this proposal, that neither it nor its principals are presently debarred, suspended, proposed for debarment, declared ineligible, or voluntarily excluded from covered transactions by any Federal, State or local department or agency.

When the Lower Tier Participant is unable to certify to any of the statements in this certification, such Participant shall attach an explanation to this proposal.

**THE LOWER TIER PARTICIPANT CERTIFIES OR AFFIRMS THE TRUTHFULNESS AND ACCURACY OF THE CONTENTS OF THE STATEMENTS SUBMITTED ON OR WITH THIS CERTIFICATION.**

LOWER TIER PARTICIPANT: DILAX Systems Inc.

AUTHORIZED SIGNATURE: 

NAME (PRINTED): Alexander Okapuu

TITLE: President

DATE: December 09, 2013

**SECTION B**  
**PART B TECHNICAL PROPOSAL AND**  
**STATEMENTS AND CERTIFICATIONS REGARDING ELIGIBILITY**

**CERTIFICATION REGARDING DEBARMENT, SUSPENSION AND**  
**OTHER RESPONSIBILITY MATTERS FOR LOWER TIER PARTICIPANT**

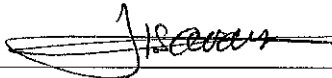
The Lower Tier Participant certifies by submission of this proposal, that neither it nor its principals are presently debarred, suspended, proposed for debarment, declared ineligible, or voluntarily excluded from covered transactions by any Federal, State or local department or agency.

When the Lower Tier Participant is unable to certify to any of the statements in this certification, such Participant shall attach an explanation to this proposal.

**THE LOWER TIER PARTICIPANT CERTIFIES OR AFFIRMS THE TRUTHFULNESS AND ACCURACY OF THE CONTENTS OF THE STATEMENTS SUBMITTED ON OR WITH THIS CERTIFICATION.**

LOWER TIER PARTICIPANT: ELLCON NATIONAL Inc. (Fawcley Transport)

AUTHORIZED SIGNATURE: \_\_\_\_\_



NAME (PRINTED): KERVE SAVARY

TITLE: Key Account Manager

DATE: 01 / 22 / 2014

**SECTION B**  
**PART B TECHNICAL PROPOSAL AND**  
**STATEMENTS AND CERTIFICATIONS REGARDING ELIGIBILITY**

**CERTIFICATION REGARDING DEBARMENT, SUSPENSION AND**  
**OTHER RESPONSIBILITY MATTERS FOR LOWER TIER PARTICIPANT**

The Lower Tier Participant certifies by submission of this proposal, that neither it nor its principals are presently debarred, suspended, proposed for debarment, declared ineligible, or voluntarily excluded from covered transactions by any Federal, State or local department or agency.

When the Lower Tier Participant is unable to certify to any of the statements in this certification, such Participant shall attach an explanation to this proposal.

**THE LOWER TIER PARTICIPANT CERTIFIES OR AFFIRMS THE TRUTHFULNESS AND ACCURACY OF THE CONTENTS OF THE STATEMENTS SUBMITTED ON OR WITH THIS CERTIFICATION.**

LOWER TIER PARTICIPANT: Freedman Seating Company

AUTHORIZED SIGNATURE:



NAME (PRINTED):

Steve Orzech Jr

TITLE:

Business Development Manager

DATE:

March 24, 2014

**SECTION B**  
**PART B TECHNICAL PROPOSAL AND**  
**STATEMENTS AND CERTIFICATIONS REGARDING ELIGIBILITY**

**CERTIFICATION REGARDING DEBARMENT, SUSPENSION AND**  
**OTHER RESPONSIBILITY MATTERS FOR LOWER TIER PARTICIPANT**

The Lower Tier Participant certifies by submission of this proposal, that neither it nor its principals are presently debarred, suspended, proposed for debarment, declared ineligible, or voluntarily excluded from covered transactions by any Federal, State or local department or agency.

When the Lower Tier Participant is unable to certify to any of the statements in this certification, such Participant shall attach an explanation to this proposal.

**THE LOWER TIER PARTICIPANT CERTIFIES OR AFFIRMS THE TRUTHFULNESS AND ACCURACY OF THE CONTENTS OF THE STATEMENTS SUBMITTED ON OR WITH THIS CERTIFICATION.**

LOWER TIER PARTICIPANT: GE Transportation Systems Global Signaling, LLC

AUTHORIZED SIGNATURE: \_\_\_\_\_

NAME (PRINTED): Richard Bennett

TITLE: Sales Leader-Intelligent Transportation Solutions

DATE: March 20, 2014

**SECTION B**  
**PART B TECHNICAL PROPOSAL AND**  
**STATEMENTS AND CERTIFICATIONS REGARDING ELIGIBILITY**

**CERTIFICATION REGARDING DEBARMENT, SUSPENSION AND**  
**OTHER RESPONSIBILITY MATTERS FOR LOWER TIER PARTICIPANT**

The Lower Tier Participant certifies by submission of this proposal, that neither it nor its principals are presently debarred, suspended, proposed for debarment, declared ineligible, or voluntarily excluded from covered transactions by any Federal, State or local department or agency.

When the Lower Tier Participant is unable to certify to any of the statements in this certification, such Participant shall attach an explanation to this proposal.

**THE LOWER TIER PARTICIPANT CERTIFIES OR AFFIRMS THE TRUTHFULNESS AND ACCURACY OF THE CONTENTS OF THE STATEMENTS SUBMITTED ON OR WITH THIS CERTIFICATION.**

LOWER TIER PARTICIPANT:

Husler Rail AG

AUTHORIZED SIGNATURE:

NAME (PRINTED):

Andreas Haas

TITLE:

Director Sales and Marketing

DATE:

09.12.2013

**SECTION B**  
**PART B TECHNICAL PROPOSAL AND**  
**STATEMENTS AND CERTIFICATIONS REGARDING ELIGIBILITY**

**CERTIFICATION REGARDING DEBARMENT, SUSPENSION AND**  
**OTHER RESPONSIBILITY MATTERS FOR LOWER TIER PARTICIPANT**

The Lower Tier Participant certifies by submission of this proposal, that neither it nor its principals are presently debarred, suspended, proposed for debarment, declared ineligible, or voluntarily excluded from covered transactions by any Federal, State or local department or agency.

When the Lower Tier Participant is unable to certify to any of the statements in this certification, such Participant shall attach an explanation to this proposal.

**THE LOWER TIER PARTICIPANT CERTIFIES OR AFFIRMS THE TRUTHFULNESS AND ACCURACY OF THE CONTENTS OF THE STATEMENTS SUBMITTED ON OR WITH THIS CERTIFICATION.**

LOWER TIER PARTICIPANT: Hoppecke Batteries, Inc.

AUTHORIZED SIGNATURE: 

NAME (PRINTED): Robert Magdole

TITLE: Manager Transit Sales NA

DATE: November 21, 2013

**SECTION B**  
**PART B TECHNICAL PROPOSAL AND**  
**STATEMENTS AND CERTIFICATIONS REGARDING ELIGIBILITY**


**CERTIFICATION REGARDING DEBARMENT, SUSPENSION AND**  
**OTHER RESPONSIBILITY MATTERS FOR LOWER TIER PARTICIPANT**

The Lower Tier Participant certifies by submission of this proposal, that neither it nor its principals are presently debarred, suspended, proposed for debarment, declared ineligible, or voluntarily excluded from covered transactions by any Federal, State or local department or agency.

When the Lower Tier Participant is unable to certify to any of the statements in this certification, such Participant shall attach an explanation to this proposal.

**THE LOWER TIER PARTICIPANT CERTIFIES OR AFFIRMS THE TRUTHFULNESS AND ACCURACY OF THE CONTENTS OF THE STATEMENTS SUBMITTED ON OR WITH THIS CERTIFICATION.**

LOWER TIER PARTICIPANT: INFODEV EDI INC.

AUTHORIZED SIGNATURE: 

NAME (PRINTED): PIERRE DES LAURIERS

TITLE: CEO

DATE: April 22 2014

**SECTION B**  
**PART B TECHNICAL PROPOSAL AND**  
**STATEMENTS AND CERTIFICATIONS REGARDING ELIGIBILITY**

**CERTIFICATION REGARDING DEBARMENT, SUSPENSION AND**  
**OTHER RESPONSIBILITY MATTERS FOR LOWER TIER PARTICIPANT**

The Lower Tier Participant certifies by submission of this proposal, that neither it nor its principals are presently debarred, suspended, proposed for debarment, declared ineligible, or voluntarily excluded from covered transactions by any Federal, State or local department or agency.

When the Lower Tier Participant is unable to certify to any of the statements in this certification, such Participant shall attach an explanation to this proposal.

**THE LOWER TIER PARTICIPANT CERTIFIES OR AFFIRMS THE TRUTHFULNESS AND ACCURACY OF THE CONTENTS OF THE STATEMENTS SUBMITTED ON OR WITH THIS CERTIFICATION.**

LOWER TIER PARTICIPANT:

ISC Applied Systems Corp.

AUTHORIZED SIGNATURE:

NAME (PRINTED):

ERIC GARZON

TITLE:

PRESIDENT

DATE:

JANUARY 8, 2014

## SECTION B

PART B TECHNICAL PROPOSAL AND  
STATEMENTS AND CERTIFICATIONS REGARDING ELIGIBILITYCERTIFICATION REGARDING DEBARMENT, SUSPENSION AND  
OTHER RESPONSIBILITY MATTERS FOR LOWER TIER PARTICIPANT

The Lower Tier Participant certifies by submission of this proposal, that neither it nor its principals are presently debarred, suspended, proposed for debarment, declared ineligible, or voluntarily excluded from covered transactions by any Federal, State or local department or agency.

When the Lower Tier Participant is unable to certify to any of the statements in this certification, such Participant shall attach an explanation to this proposal.

THE LOWER TIER PARTICIPANT CERTIFIES OR AFFIRMS THE TRUTHFULNESS AND ACCURACY OF THE CONTENTS OF THE STATEMENTS SUBMITTED ON OR WITH THIS CERTIFICATION.

LOWER TIER PARTICIPANT: Nanjing Kangni Mechanical & Electrical Co. Ltd  
AUTHORIZED SIGNATURE: WANG JIAGUO  
NAME (PRINTED): WANG JIAGUO  
TITLE: Director of International Marketing Dept.  
DATE: 01-04-2010

**SECTION B**  
**PART B TECHNICAL PROPOSAL AND**  
**STATEMENTS AND CERTIFICATIONS REGARDING ELIGIBILITY**

**CERTIFICATION REGARDING DEBARMENT, SUSPENSION AND**  
**OTHER RESPONSIBILITY MATTERS FOR LOWER TIER PARTICIPANT**

The Lower Tier Participant certifies by submission of this proposal, that neither it nor its principals are presently debarred, suspended, proposed for debarment, declared ineligible, or voluntarily excluded from covered transactions by any Federal, State or local department or agency.

When the Lower Tier Participant is unable to certify to any of the statements in this certification, such Participant shall attach an explanation to this proposal.

**THE LOWER TIER PARTICIPANT CERTIFIES OR AFFIRMS THE TRUTHFULNESS AND ACCURACY OF THE CONTENTS OF THE STATEMENTS SUBMITTED ON OR WITH THIS CERTIFICATION.**

LOWER TIER PARTICIPANT: Shijiazhuang King Transportation Equipment Co., Ltd

AUTHORIZED SIGNATURE:



NAME (PRINTED): Hannah Fan

TITLE: Account Manager

DATE: 2013-12-5

**SECTION B**  
**PART B TECHNICAL PROPOSAL AND**  
**STATEMENTS AND CERTIFICATIONS REGARDING ELIGIBILITY**

**CERTIFICATION REGARDING DEBARMENT, SUSPENSION AND**  
**OTHER RESPONSIBILITY MATTERS FOR LOWER TIER PARTICIPANT**

The Lower Tier Participant certifies by submission of this proposal, that neither it nor its principals are presently debarred, suspended, proposed for debarment, declared ineligible, or voluntarily excluded from covered transactions by any Federal, State or local department or agency.

When the Lower Tier Participant is unable to certify to any of the statements in this certification, such Participant shall attach an explanation to this proposal.

**THE LOWER TIER PARTICIPANT CERTIFIES OR AFFIRMS THE TRUTHFULNESS AND ACCURACY OF THE CONTENTS OF THE STATEMENTS SUBMITTED ON OR WITH THIS CERTIFICATION.**

LOWER TIER PARTICIPANT: Knorr Brake Company, LLC

AUTHORIZED SIGNATURE:



NAME (PRINTED): Kenneth E. Towns

TITLE: Vice President, Contracts

DATE: March 10, 2014

**SECTION B**  
**PART B TECHNICAL PROPOSAL AND**  
**STATEMENTS AND CERTIFICATIONS REGARDING ELIGIBILITY**

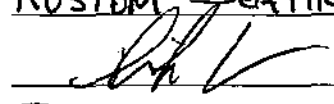
**CERTIFICATION REGARDING DEBARMENT, SUSPENSION AND**  
**OTHER RESPONSIBILITY MATTERS FOR LOWER TIER PARTICIPANT**

The Lower Tier Participant certifies by submission of this proposal, that neither it nor its principals are presently debarred, suspended, proposed for debarment, declared ineligible, or voluntarily excluded from covered transactions by any Federal, State or local department or agency.

When the Lower Tier Participant is unable to certify to any of the statements in this certification, such Participant shall attach an explanation to this proposal.

**THE LOWER TIER PARTICIPANT CERTIFIES OR AFFIRMS THE TRUTHFULNESS AND ACCURACY OF THE CONTENTS OF THE STATEMENTS SUBMITTED ON OR WITH THIS CERTIFICATION.**

LOWER TIER PARTICIPANT: KUSTOM SEATING, UNLIMITED

AUTHORIZED SIGNATURE: 

NAME (PRINTED): RICK KOHN

TITLE: DIRECTOR OF SALES + MARKETING

DATE: 2-14-14

**SECTION B**  
**PART B TECHNICAL PROPOSAL AND**  
**STATEMENTS AND CERTIFICATIONS REGARDING ELIGIBILITY**

**CERTIFICATION REGARDING DEBARMENT, SUSPENSION AND**  
**OTHER RESPONSIBILITY MATTERS FOR LOWER TIER PARTICIPANT**

The Lower Tier Participant certifies by submission of this proposal, that neither it nor its principals are presently debarred, suspended, proposed for debarment, declared ineligible, or voluntarily excluded from covered transactions by any Federal, State or local department or agency.

When the Lower Tier Participant is unable to certify to any of the statements in this certification, such Participant shall attach an explanation to this proposal.

**THE LOWER TIER PARTICIPANT CERTIFIES OR AFFIRMS THE TRUTHFULNESS AND ACCURACY OF THE CONTENTS OF THE STATEMENTS SUBMITTED ON OR WITH THIS CERTIFICATION.**

LOWER TIER PARTICIPANT:

Lumigator Holding LP

AUTHORIZED SIGNATURE:

J. Payne

NAME (PRINTED):

Leah Payne

TITLE:

Contract Manager

DATE:

12/12/2013

SECTION B  
**PART B TECHNICAL PROPOSAL AND**  
**STATEMENTS AND CERTIFICATIONS REGARDING ELIGIBILITY**

**CERTIFICATION REGARDING DEBARMENT, SUSPENSION AND**  
**OTHER RESPONSIBILITY MATTERS FOR LOWER TIER PARTICIPANT**

The Lower Tier Participant certifies by submission of this proposal, that neither it nor its principals are presently debarred, suspended, proposed for debarment, declared ineligible, or voluntarily excluded from covered transactions by any Federal, State or local department or agency.

When the Lower Tier Participant is unable to certify to any of the statements in this certification, such Participant shall attach an explanation to this proposal.

**THE LOWER TIER PARTICIPANT CERTIFIES OR AFFIRMS THE TRUTHFULNESS AND ACCURACY OF THE CONTENTS OF THE STATEMENTS SUBMITTED ON OR WITH THIS CERTIFICATION.**

LOWER TIER PARTICIPANT: Milwaukee Composites, Inc.

AUTHORIZED SIGNATURE: Sheryl A. Hansen

NAME (PRINTED): SHERYL A. HANSEN

TITLE: Project Manager / Sales

DATE: 12-11-13

**SECTION B**  
**PART B TECHNICAL PROPOSAL AND**  
**STATEMENTS AND CERTIFICATIONS REGARDING ELIGIBILITY**

**CERTIFICATION REGARDING DEBARMENT, SUSPENSION AND**  
**OTHER RESPONSIBILITY MATTERS FOR LOWER TIER PARTICIPANT**

The Lower Tier Participant certifies by submission of this proposal, that neither it nor its principals are presently debarred, suspended, proposed for debarment, declared ineligible, or voluntarily excluded from covered transactions by any Federal, State or local department or agency.

When the Lower Tier Participant is unable to certify to any of the statements in this certification, such Participant shall attach an explanation to this proposal.

**THE LOWER TIER PARTICIPANT CERTIFIES OR AFFIRMS THE TRUTHFULNESS AND ACCURACY OF THE CONTENTS OF THE STATEMENTS SUBMITTED ON OR WITH THIS CERTIFICATION.**


LOWER TIER PARTICIPANT: \_\_\_\_\_

AUTHORIZED SIGNATURE: \_\_\_\_\_

NAME (PRINTED): \_\_\_\_\_

TITLE: \_\_\_\_\_

DATE: \_\_\_\_\_

  
\_\_\_\_\_  
Timothy J. Logan  
General Manager  
Transportation Systems Division  
Mitsubishi Electric Power Products, Inc.

March 13, 2014

**SECTION B**  
**PART B TECHNICAL PROPOSAL AND**  
**STATEMENTS AND CERTIFICATIONS REGARDING ELIGIBILITY**

**CERTIFICATION REGARDING DEBARMENT, SUSPENSION AND**  
**OTHER RESPONSIBILITY MATTERS FOR LOWER TIER PARTICIPANT**

The Lower Tier Participant certifies by submission of this proposal, that neither it nor its principals are presently debarred, suspended, proposed for debarment, declared ineligible, or voluntarily excluded from covered transactions by any Federal, State or local department or agency.

When the Lower Tier Participant is unable to certify to any of the statements in this certification, such Participant shall attach an explanation to this proposal.

**THE LOWER TIER PARTICIPANT CERTIFIES OR AFFIRMS THE TRUTHFULNESS AND ACCURACY OF THE CONTENTS OF THE STATEMENTS SUBMITTED ON OR WITH THIS CERTIFICATION.**

LOWER TIER PARTICIPANT:

NASG HOLDINGS INC

AUTHORIZED SIGNATURE:

David Smith.

NAME (PRINTED):

DAVID SMITH.

TITLE:

SALES &amp; PROGRAM MANAGER.

DATE:

Dec. 12<sup>th</sup>, 2013.

**SECTION B**  
**PART B TECHNICAL PROPOSAL AND**  
**STATEMENTS AND CERTIFICATIONS REGARDING ELIGIBILITY**

**CERTIFICATION REGARDING DEBARMENT, SUSPENSION AND**  
**OTHER RESPONSIBILITY MATTERS FOR LOWER TIER PARTICIPANT**

The Lower Tier Participant certifies by submission of this proposal, that neither it nor its principals are presently debarred, suspended, proposed for debarment, declared ineligible, or voluntarily excluded from covered transactions by any Federal, State or local department or agency.

When the Lower Tier Participant is unable to certify to any of the statements in this certification, such Participant shall attach an explanation to this proposal.

**THE LOWER TIER PARTICIPANT CERTIFIES OR AFFIRMS THE TRUTHFULNESS AND ACCURACY OF THE CONTENTS OF THE STATEMENTS SUBMITTED ON OR WITH THIS CERTIFICATION.**

LOWER TIER PARTICIPANT: ORX

AUTHORIZED SIGNATURE: Rocky Pacific

NAME (PRINTED): Rocky Pacific

TITLE: CUSTOMER SERVICE MANAGER

DATE: 3-3-2014

**SECTION B**  
**PART B TECHNICAL PROPOSAL AND**  
**STATEMENTS AND CERTIFICATIONS REGARDING ELIGIBILITY**

**CERTIFICATION REGARDING DEBARMENT, SUSPENSION AND**  
**OTHER RESPONSIBILITY MATTERS FOR LOWER TIER PARTICIPANT**

The Lower Tier Participant certifies by submission of this proposal, that neither it nor its principals are presently debarred, suspended, proposed for debarment, declared ineligible, or voluntarily excluded from covered transactions by any Federal, State or local department or agency.

When the Lower Tier Participant is unable to certify to any of the statements in this certification, such Participant shall attach an explanation to this proposal.

**THE LOWER TIER PARTICIPANT CERTIFIES OR AFFIRMS THE TRUTHFULNESS AND ACCURACY OF THE CONTENTS OF THE STATEMENTS SUBMITTED ON OR WITH THIS CERTIFICATION.**

LOWER TIER PARTICIPANT: OSG Inc

AUTHORIZED SIGNATURE: \_\_\_\_\_

NAME (PRINTED): Amram Galmi

TITLE: Marketing and Business Development Manager

DATE: 2014/02/16

**SECTION B**  
**PART B TECHNICAL PROPOSAL AND**  
**STATEMENTS AND CERTIFICATIONS REGARDING ELIGIBILITY**

**CERTIFICATION REGARDING DEBARMENT, SUSPENSION AND**  
**OTHER RESPONSIBILITY MATTERS FOR LOWER TIER PARTICIPANT**

The Lower Tier Participant certifies by submission of this proposal, that neither it nor its principals are presently debarred, suspended, proposed for debarment, declared ineligible, or voluntarily excluded from covered transactions by any Federal, State or local department or agency.

When the Lower Tier Participant is unable to certify to any of the statements in this certification, such Participant shall attach an explanation to this proposal.

**THE LOWER TIER PARTICIPANT CERTIFIES OR AFFIRMS THE TRUTHFULNESS AND ACCURACY OF THE CONTENTS OF THE STATEMENTS SUBMITTED ON OR WITH THIS CERTIFICATION.**

LOWER TIER PARTICIPANT: PCS Power Converter Solutions Ltd.

AUTHORIZED SIGNATURE: ppa. Stephan Siebert

NAME (PRINTED): Stephan Siebert

TITLE: Head of Sales & Marketing Rail

DATE: 2013-12-09

**SECTION B**  
**PART B TECHNICAL PROPOSAL AND**  
**STATEMENTS AND CERTIFICATIONS REGARDING ELIGIBILITY**

**CERTIFICATION REGARDING DEBARMENT, SUSPENSION AND**  
**OTHER RESPONSIBILITY MATTERS FOR LOWER TIER PARTICIPANT**

The Lower Tier Participant certifies by submission of this proposal, that neither it nor its principals are presently debarred, suspended, proposed for debarment, declared ineligible, or voluntarily excluded from covered transactions by any Federal, State or local department or agency.

When the Lower Tier Participant is unable to certify to any of the statements in this certification, such Participant shall attach an explanation to this proposal.

**THE LOWER TIER PARTICIPANT CERTIFIES OR AFFIRMS THE TRUTHFULNESS AND ACCURACY OF THE CONTENTS OF THE STATEMENTS SUBMITTED ON OR WITH THIS CERTIFICATION.**

LOWER TIER PARTICIPANT: Penn Machine Company

AUTHORIZED SIGNATURE: \_\_\_\_\_



NAME (PRINTED): Peter Harper

TITLE: Director, Sales and Program Management

DATE: 3/31/2014

**SECTION B**  
**PART B TECHNICAL PROPOSAL AND**  
**STATEMENTS AND CERTIFICATIONS REGARDING ELIGIBILITY**

**CERTIFICATION REGARDING DEBARMENT, SUSPENSION AND**  
**OTHER RESPONSIBILITY MATTERS FOR LOWER TIER PARTICIPANT**

The Lower Tier Participant certifies by submission of this proposal, that neither it nor its principals are presently debarred, suspended, proposed for debarment, declared ineligible, or voluntarily excluded from covered transactions by any Federal, State or local department or agency.

When the Lower Tier Participant is unable to certify to any of the statements in this certification, such Participant shall attach an explanation to this proposal.

**THE LOWER TIER PARTICIPANT CERTIFIES OR AFFIRMS THE TRUTHFULNESS AND ACCURACY OF THE CONTENTS OF THE STATEMENTS SUBMITTED ON OR WITH THIS CERTIFICATION.**

LOWER TIER PARTICIPANT: RECARO North America, Inc.

AUTHORIZED SIGNATURE: Brian Sabo 

NAME (PRINTED): Brian Sabo

TITLE: Commercial Sales Manager

DATE: March 5, 2014

**SECTION B**  
**PART B TECHNICAL PROPOSAL AND**  
**STATEMENTS AND CERTIFICATIONS REGARDING ELIGIBILITY**

**CERTIFICATION REGARDING DEBARMENT, SUSPENSION AND**  
**OTHER RESPONSIBILITY MATTERS FOR LOWER TIER PARTICIPANT**

The Lower Tier Participant certifies by submission of this proposal, that neither it nor its principals are presently debarred, suspended, proposed for debarment, declared ineligible, or voluntarily excluded from covered transactions by any Federal, State or local department or agency.

When the Lower Tier Participant is unable to certify to any of the statements in this certification, such Participant shall attach an explanation to this proposal.

**THE LOWER TIER PARTICIPANT CERTIFIES OR AFFIRMS THE TRUTHFULNESS AND ACCURACY OF THE CONTENTS OF THE STATEMENTS SUBMITTED ON OR WITH THIS CERTIFICATION.**

LOWER TIER PARTICIPANT: Soft America, Inc

AUTHORIZED SIGNATURE: Debbie Updyke

NAME (PRINTED): Debbie Updyke

TITLE: Assistant Program Manager

DATE: 12/17/13

**SECTION B**  
**PART B TECHNICAL PROPOSAL AND**  
**STATEMENTS AND CERTIFICATIONS REGARDING ELIGIBILITY**

**CERTIFICATION REGARDING DEBARMENT, SUSPENSION AND**  
**OTHER RESPONSIBILITY MATTERS FOR LOWER TIER PARTICIPANT**

The Lower Tier Participant certifies by submission of this proposal, that neither it nor its principals are presently debarred, suspended, proposed for debarment, declared ineligible, or voluntarily excluded from covered transactions by any Federal, State or local department or agency.

When the Lower Tier Participant is unable to certify to any of the statements in this certification, such Participant shall attach an explanation to this proposal.

**THE LOWER TIER PARTICIPANT CERTIFIES OR AFFIRMS THE TRUTHFULNESS AND ACCURACY OF THE CONTENTS OF THE STATEMENTS SUBMITTED ON OR WITH THIS CERTIFICATION.**

LOWER TIER PARTICIPANT: Seats Incorporated

AUTHORIZED SIGNATURE: 

NAME (PRINTED): Ron Ziegler

TITLE: Vice President of Sales

DATE: 12/09/13

**SECTION B**  
**PART B TECHNICAL PROPOSAL AND**  
**STATEMENTS AND CERTIFICATIONS REGARDING ELIGIBILITY**

**CERTIFICATION REGARDING DEBARMENT, SUSPENSION AND**  
**OTHER RESPONSIBILITY MATTERS FOR LOWER TIER PARTICIPANT**

The Lower Tier Participant certifies by submission of this proposal, that neither it nor its principals are presently debarred, suspended, proposed for debarment, declared ineligible, or voluntarily excluded from covered transactions by any Federal, State or local department or agency.

When the Lower Tier Participant is unable to certify to any of the statements in this certification, such Participant shall attach an explanation to this proposal.

**THE LOWER TIER PARTICIPANT CERTIFIES OR AFFIRMS THE TRUTHFULNESS AND ACCURACY OF THE CONTENTS OF THE STATEMENTS SUBMITTED ON OR WITH THIS CERTIFICATION.**

LOWER TIER PARTICIPANT: Shenzhen Hengzhiyuan Electric Appliance Co., Ltd.

AUTHORIZED SIGNATURE: Xingfu Xu

NAME (PRINTED): Xingfu Xu

TITLE: General Manager

DATE: Nov. 26, 2013

**SECTION B**  
**PART B TECHNICAL PROPOSAL AND**  
**STATEMENTS AND CERTIFICATIONS REGARDING ELIGIBILITY**

**CERTIFICATION REGARDING DEBARMENT, SUSPENSION AND**  
**OTHER RESPONSIBILITY MATTERS FOR LOWER TIER PARTICIPANT**

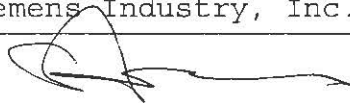
The Lower Tier Participant certifies by submission of this proposal, that neither it nor its principals are presently debarred, suspended, proposed for debarment, declared ineligible, or voluntarily excluded from covered transactions by any Federal, State or local department or agency.

When the Lower Tier Participant is unable to certify to any of the statements in this certification, such Participant shall attach an explanation to this proposal.

**THE LOWER TIER PARTICIPANT CERTIFIES OR AFFIRMS THE TRUTHFULNESS AND ACCURACY OF THE CONTENTS OF THE STATEMENTS SUBMITTED ON OR WITH THIS CERTIFICATION.**

LOWER TIER PARTICIPANT: Siemens Industry, Inc.

AUTHORIZED SIGNATURE:



NAME (PRINTED): Shawn Friedman

TITLE: Senior Counsel

DATE: 04/29/14

**SECTION B**  
**PART B TECHNICAL PROPOSAL AND STATEMENTS AND**  
**CERTIFICATIONS REGARDING ELIGIBILITY**

**CERTIFICATION REGARDING DEBARMENT, SUSPENSION AND**  
**OTHER RESPONSIBILITY MATTERS FOR LOWER TIER PARTICIPANT**

The Lower Tier Participant certifies by submission of this proposal, that neither it nor its principals are presently debarred, suspended, proposed for debarment, declared ineligible, or voluntarily excluded from covered transactions by any Federal, State or local department or agency.

When the Lower Tier Participant is unable to certify to any of the statements in this certification, such Participant shall attach an explanation to this proposal.

**THE LOWER TIER PARTICIPANT CERTIFIES OR AFFIRMS THE TRUTHFULNESS AND ACCURACY OF THE CONTENTS OF THE STATEMENTS SUBMITTED ON OR WITH THIS CERTIFICATION.**

LOWER TIER PARTICIPANT: Singapore Technologies Electronics (Shanghai) Co., Ltd.

AUTHORIZED SIGNATURE: \_\_\_\_\_

NAME (PRINTED): Qu Weiqiang

TITLE: Department Manager

DATE: Dec. 12, 2013

**SECTION B**  
**PART B TECHNICAL PROPOSAL AND**  
**STATEMENTS AND CERTIFICATIONS REGARDING ELIGIBILITY**

**CERTIFICATION REGARDING DEBARMENT, SUSPENSION AND**  
**OTHER RESPONSIBILITY MATTERS FOR LOWER TIER PARTICIPANT**

The Lower Tier Participant certifies by submission of this proposal, that neither it nor its principals are presently debarred, suspended, proposed for debarment, declared ineligible, or voluntarily excluded from covered transactions by any Federal, State or local department or agency.

When the Lower Tier Participant is unable to certify to any of the statements in this certification, such Participant shall attach an explanation to this proposal.

**THE LOWER TIER PARTICIPANT CERTIFIES OR AFFIRMS THE TRUTHFULNESS AND ACCURACY OF THE CONTENTS OF THE STATEMENTS SUBMITTED ON OR WITH THIS CERTIFICATION.**

LOWER TIER PARTICIPANT: TDG TRANSIT DESIGN GROUP

AUTHORIZED SIGNATURE: \_\_\_\_\_

NAME (PRINTED):

ROBERT GAGNE

TITLE:

PRESIDENT

DATE:

DECEMBER 6, 2013

**SECTION B**  
**PART B TECHNICAL PROPOSAL AND**  
**STATEMENTS AND CERTIFICATIONS REGARDING ELIGIBILITY**

**CERTIFICATION REGARDING DEBARMENT, SUSPENSION AND**  
**OTHER RESPONSIBILITY MATTERS FOR LOWER TIER PARTICIPANT**

The Lower Tier Participant certifies by submission of this proposal, that neither it nor its principals are presently debarred, suspended, proposed for debarment, declared ineligible, or voluntarily excluded from covered transactions by any Federal, State or local department or agency.

When the Lower Tier Participant is unable to certify to any of the statements in this certification, such Participant shall attach an explanation to this proposal.

**THE LOWER TIER PARTICIPANT CERTIFIES OR AFFIRMS THE TRUTHFULNESS AND ACCURACY OF THE CONTENTS OF THE STATEMENTS SUBMITTED ON OR WITH THIS CERTIFICATION.**

LOWER TIER PARTICIPANT: Transtechnik Corp USA

AUTHORIZED SIGNATURE: \_\_\_\_\_



NAME (PRINTED): Thomas Spork

TITLE: Sales Director

DATE: March 3, 2014

**SECTION B**  
**PART B TECHNICAL PROPOSAL AND**  
**STATEMENTS AND CERTIFICATIONS REGARDING ELIGIBILITY**

**CERTIFICATION REGARDING DEBARMENT, SUSPENSION AND**  
**OTHER RESPONSIBILITY MATTERS FOR LOWER TIER PARTICIPANT**

The Lower Tier Participant certifies by submission of this proposal, that neither it nor its principals are presently debarred, suspended, proposed for debarment, declared ineligible, or voluntarily excluded from covered transactions by any Federal, State or local department or agency.

When the Lower Tier Participant is unable to certify to any of the statements in this certification, such Participant shall attach an explanation to this proposal.

**THE LOWER TIER PARTICIPANT CERTIFIES OR AFFIRMS THE TRUTHFULNESS AND ACCURACY OF THE CONTENTS OF THE STATEMENTS SUBMITTED ON OR WITH THIS CERTIFICATION.**

LOWER TIER PARTICIPANT: USSC LLC

AUTHORIZED SIGNATURE: Craig Nichols

NAME (PRINTED): CRAIG NICHOLS

TITLE: SR. PROG. MGR. - RAIL TRANSIT

DATE: 4/9/14

**SECTION B**  
**PART B TECHNICAL PROPOSAL AND**  
**STATEMENTS AND CERTIFICATIONS REGARDING ELIGIBILITY**

**CERTIFICATION REGARDING DEBARMENT, SUSPENSION AND**  
**OTHER RESPONSIBILITY MATTERS FOR LOWER TIER PARTICIPANT**

The Lower Tier Participant certifies by submission of this proposal, that neither it nor its principals are presently debarred, suspended, proposed for debarment, declared ineligible, or voluntarily excluded from covered transactions by any Federal, State or local department or agency.

When the Lower Tier Participant is unable to certify to any of the statements in this certification, such Participant shall attach an explanation to this proposal.

**THE LOWER TIER PARTICIPANT CERTIFIES OR AFFIRMS THE TRUTHFULNESS AND ACCURACY OF THE CONTENTS OF THE STATEMENTS SUBMITTED ON OR WITH THIS CERTIFICATION.**

LOWER TIER PARTICIPANT:

AUTHORIZED SIGNATURE:

NAME (PRINTED):

TITLE:

DATE:

LTG RAS  
Frank R. Lyons  
Frank R. Lyons  
President, Rail Systems Group  
03/06/14

**SECTION B**  
**PART B TECHNICAL PROPOSAL AND**  
**STATEMENTS AND CERTIFICATIONS REGARDING ELIGIBILITY**

**CERTIFICATION REGARDING DEBARMENT, SUSPENSION AND**  
**OTHER RESPONSIBILITY MATTERS FOR LOWER TIER PARTICIPANT**

The Lower Tier Participant certifies by submission of this proposal, that neither it nor its principals are presently debarred, suspended, proposed for debarment, declared ineligible, or voluntarily excluded from covered transactions by any Federal, State or local department or agency.

When the Lower Tier Participant is unable to certify to any of the statements in this certification, such Participant shall attach an explanation to this proposal.

**THE LOWER TIER PARTICIPANT CERTIFIES OR AFFIRMS THE TRUTHFULNESS AND ACCURACY OF THE CONTENTS OF THE STATEMENTS SUBMITTED ON OR WITH THIS CERTIFICATION.**

LOWER TIER PARTICIPANT: Vapor Stone Rail Systems,  
a division of Westinghouse Air Brake Technologies Corporation, d/b/a Wabtec Corp.

AUTHORIZED SIGNATURE: \_\_\_\_\_

NAME (PRINTED): Frederik van der Veen

TITLE: Proposals Manager

DATE: January 31, 2014

## SECTION B

**PART B TECHNICAL PROPOSAL AND  
STATEMENTS AND CERTIFICATIONS REGARDING ELIGIBILITY****CERTIFICATION REGARDING DEBARMENT, SUSPENSION AND  
OTHER RESPONSIBILITY MATTERS FOR LOWER TIER PARTICIPANT**

The Lower Tier Participant certifies by submission of this proposal, that neither it nor its principals are presently debarred, suspended, proposed for debarment, declared ineligible, or voluntarily excluded from covered transactions by any Federal, State or local department or agency.

When the Lower Tier Participant is unable to certify to any of the statements in this certification, such Participant shall attach an explanation to this proposal.

**THE LOWER TIER PARTICIPANT CERTIFIES OR AFFIRMS THE TRUTHFULNESS AND ACCURACY OF THE CONTENTS OF THE STATEMENTS SUBMITTED ON OR WITH THIS CERTIFICATION.**

LOWER TIER PARTICIPANT:

VOITH TURBO INC.

AUTHORIZED SIGNATURE:

K M

NAME (PRINTED):

Kevin Simms

TITLE:

President Americas - Rail Division

DATE:

March 18, 2014

**SECTION B**  
**PART B TECHNICAL PROPOSAL AND**  
**STATEMENTS AND CERTIFICATIONS REGARDING ELIGIBILITY**

**CERTIFICATION REGARDING DEBARMENT, SUSPENSION AND**  
**OTHER RESPONSIBILITY MATTERS FOR LOWER TIER PARTICIPANT**

The Lower Tier Participant certifies by submission of this proposal, that neither it nor its principals are presently debarred, suspended, proposed for debarment, declared ineligible, or voluntarily excluded from covered transactions by any Federal, State or local department or agency.

When the Lower Tier Participant is unable to certify to any of the statements in this certification, such Participant shall attach an explanation to this proposal.

**THE LOWER TIER PARTICIPANT CERTIFIES OR AFFIRMS THE TRUTHFULNESS AND ACCURACY OF THE CONTENTS OF THE STATEMENTS SUBMITTED ON OR WITH THIS CERTIFICATION.**

LOWER TIER PARTICIPANT: WabtecPassenger Transit  
a Division of Westinghouse Air Brake Technologies

AUTHORIZED SIGNATURE:

NAME (PRINTED):

Robert F. Dezzi

Vice President

TITLE:

30 January 2014

DATE:

# INTELLECTUAL PROPERTY LICENSE AGREEMENT CERTIFICATION

**SECTION B**  
**PART B TECHNICAL PROPOSAL AND**  
**STATEMENTS AND CERTIFICATIONS REGARDING ELIGIBILITY**

**INTELLECTUAL PROPERTY LICENSE AGREEMENT CERTIFICATION**

The undersigned hereby certifies that the Contractor and its related subsuppliers/subcontractors/agents will execute and adhere to a software escrow agreement and comply with the provisions of Section C5.11 as noted and defined therein and shall comply with the Contract Specification No. VE-10-036 and all other general terms and conditions.

OFFEROR: Bombardier Transit Corporation

AUTHORIZED SIGNATURE: 

NAME (PRINTED): Thomas F. Faber

Julie Turgeon

TITLE: Business Development  
& Sales Executive

Vice-President,  
Contracts & Legal Affairs

DATE: May 13, 2014

May 13, 2014

# M/WBE PARTICIPATION FORMS

B-86 to B-89

**SECTION B**  
**PART B TECHNICAL PROPOSAL AND**  
**STATEMENTS AND CERTIFICATIONS REGARDING ELIGIBILITY**

**M/WBE UTILIZATION FORM**

In connection with the performance of this Contract, the Offeror will cooperate with the MBTA in meeting its commitments and requirements with regard ensuring opportunities for creating a level playing field on which M/WBEs can compete fairly for opportunities. The Offeror shall complete and submit this M/WBE Utilization Form with its proposal and as part of the Contract.

What percentage ~~and dollar value~~ of the Base Award Price will be performed or supplied by certified M/WBEs? **TOTAL BASE AWARD M/WBE UTILIZATION:** 2.44 %

What percentage ~~and dollar value~~ of the Total Proposal Price will be performed or supplied by certified M/WBEs? **TOTAL PROPOSAL PRICE M/WBE UTILIZATION:** 1.94 %

**NOTE:** For each M/WBE supplying or performing a percentage of the Contract amount, you must complete the attached M/WBE Participation Schedule.

To the extent that the Offeror has not been able to secure M/WBE participation, the Offeror shall attach documentation demonstrating its good faith efforts to secure M/WBE participation.

I hereby certify that the above information is true and accurate to the best of my knowledge:

OFFEROR: Bombardier Transit Corporation

AUTHORIZED SIGNATURE: Thomas F. Faber

NAME (PRINTED): Thomas F. Faber

TITLE: Business Development  
& Sales Executive

DATE: May 13, 2014

Julie Turgeon

Vice-President,  
Contracts & Legal Affairs

May 13, 2014

**SECTION B**  
**PART B TECHNICAL PROPOSAL AND**  
**STATEMENTS AND CERTIFICATIONS REGARDING ELIGIBILITY**

**M/WBE PARTICIPATION SCHEDULE**

The Offeror shall complete the following information for any M/WBE for which a percentage is given in the M/WBE Utilization Form. The Offeror shall furnish the name and telephone number of the appropriate contact person should the Authority have any questions in relation to the information furnished herein.

Name of Supplier or Subcontractor and Category (Indicate MBE or WBE)	Address and Contact Information	Description and Type of Service to be Performed or Material to be Supplied	Beginning / Duration	Percent of M/WBE Participation  * (Percentage calculation is based on M/WBE total contact expenditures)
BBA Project, Inc. (MBE)	8 Westchester Plaza Elmsford, NY, 10523 Junichi Kojima (914) 345-3888	Distribution of: - Batteries - Connectors - Wires - Handles and locks	TBD	28.14%
Adrian Name Plates (WBE)	PO Box 211 Essex, MA, 01929 Madeline Albani (978) 768-7977	Decals	TBD	1.00%
Davis Freight Management, Inc. (WBE)	13238 Broadway St, Alden, NY, 14004 Wendy Davis-Schlabach (716) 902-4244	Transport	TBD	8.73%
Contine Corporation (WBE)	1820 Nagle Rd, Erie, PA, 16510-2194 Constance Ellrich (814) 899-0006	Manufacturing of Primary Parts	TBD	1.39%
Tony Baird Electronics (MBE) for TDG Transit Design Group (Certification pending)	407 South Warren St Syracuse, NY, 13202 Tony Baird (315) 415-3221	Wire Harness and Select Assembly	TBD	32.13%
UTC RAS Inc (WBE)	501 Highland Ave Morton, PA, 19070 Betty Scott (610) 328-1100	Wheel and Axle Manufacturing	TBD	28.18%

(Use additional sheet of paper, if necessary.)

OFFEROR: Bombardier Transit Corporation

AUTHORIZED SIGNATURE: Thomas F. Faber

NAME (PRINTED): Thomas F. Faber

TITLE: Business Development  
& Sales Executive

DATE: May 13, 2014

Julie Turgeon

Vice-President,  
Contracts & Legal Affairs

May 13, 2014

**SECTION B**  
**PART B TECHNICAL PROPOSAL AND**  
**STATEMENTS AND CERTIFICATIONS REGARDING ELIGIBILITY**

**M/WBE LETTER OF INTENT**  
**(TO BE COMPLETED BY M/WBE FIRM)**

Name of Offeror Firm: Bombardier Transit Corporation

Address: 101 Gibraltar Road – Suite 112

City: Horsham

State: PA

Zip: 19044

Name of M/WBE Firm: Adrian Name Plates

Address: P.O. Box 211

City: ESSEX State: MA. Zip: 01929

Telephone: 978-768-7977

**Description of work to be performed by M/WBE firm:**

Potential manufacturing of Decals

The Offeror is committed to utilizing the above-named M/WBE firm for the work described above.

The above work will not be sublet to a non-disadvantaged business enterprise at any tier. The undersigned will enter into a formal contract for the above work with the Offeror conditioned upon the Offeror's award and execution of a Contract with the MBTA.

**Affirmation**

The above-named M/WBE firm affirms that it will perform the portion of the Agreement as stated above.

By: Madeline Allsani  
(Signature and Title of Authorized Official)

Date: 4/8/14

**If the Offeror does not receive award of the prime Agreement, any and all representations in this Letter of Intent and Affirmations shall be null and void.**

(Offeror shall submit this page for each M/WBE subcontractor.)

**SECTION B**  
**PART B TECHNICAL PROPOSAL AND**  
**STATEMENTS AND CERTIFICATIONS REGARDING ELIGIBILITY**

**M/WBE AFFIDAVIT**

STATE OF MassachusettsDate: 3/12/14COUNTY OF Essex S.S.

The undersigned being duly sworn, deposes and says that he/she is the

SOLE OWNER

(Sole Owner; Partner, President, Treasurer, or Other Duty Authorized Official of a Corporation)

of ADRIAN NAME PLATES

(name of M/WBE)

and certifies that since the date of its certification by  
Massachusetts Supplier Diversity Office  
(SDO)the certification has not been revoked nor has it expired nor has there been any change in the minority  
status of ADRIAN NAME PLATES

(Name of M/WBE)

Madeline Allin, owner  
(Signature and Title of Person Making Affidavit)Sworn to before me this 12<sup>th</sup> day of March, 2014Notary Public: Heidi L. Dean

My commission expires: \_\_\_\_\_



NOTE: The Offeror must attach the M/WBE's most recent certification letter or other documentation establishing M/WBE certification to this affidavit.

# Massachusetts Supplier Diversity Office



**This Certificate Acknowledges and Recognizes that**

**Adrian Name Plates**

**Is certified as a Woman-Owned Business Enterprise (WBE)**

Date Certified: 03/28/2013

Renewal Date: 03/28/2015



Massachusetts

**Supplier Diversity Office**

Fulfilling the Promise of Access and Opportunity

A handwritten signature in black ink, appearing to read 'Reginald A. Nunnally'.

**Reginald A. Nunnally, Executive Director**

**SECTION B**  
**PART B TECHNICAL PROPOSAL AND**  
**STATEMENTS AND CERTIFICATIONS REGARDING ELIGIBILITY**

**M/WBE LETTER OF INTENT**  
**(TO BE COMPLETED BY M/WBE FIRM)**

Name of Offeror Firm: Bombardier Transit Corporation

Address: 101 Gibraltar Road – Suite 112

City: Horsham                      State: PA                      Zip: 19044

Name of M/WBE Firm: BBA Project, Inc.

Address: 8 Westchester Plaza

City: Elmsford                      State: NY                      Zip: 10523

Telephone: 914-345-3888

**Description of work to be performed by M/WBE firm:**

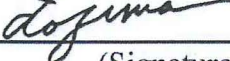
Product distribution which may include: Batteries, Connectors, Wires and James L. Howard products.

The Offeror is committed to utilizing the above-named M/WBE firm for the work described above.

The above work will not be sublet to a non-disadvantaged business enterprise at any tier. The undersigned will enter into a formal contract for the above work with the Offeror conditioned upon the Offeror's award and execution of a Contract with the MBTA.

**Affirmation**

The above-named M/WBE firm affirms that it will perform the portion of the Agreement as stated above.

By:  /Juichi (Jim) Kojima – President & CEO  
(Signature and Title of Authorized Official)

Date: 4.03.14

**If the Offeror does not receive award of the prime Agreement, any and all representations in this Letter of Intent and Affirmations shall be null and void.**

(Offeror shall submit this page for each M/WBE subcontractor.)

**SECTION B**  
**PART B TECHNICAL PROPOSAL AND**  
**STATEMENTS AND CERTIFICATIONS REGARDING ELIGIBILITY**

**M/WBE AFFIDAVIT**

STATE OF NEW YORK Date: April 3, 2014

COUNTY OF WESTCHESTER S.S. 571-43-8846

The undersigned being duly sworn, deposes and says that he/she is the

Junichi (Jim) Kojima / President & CEO

(Sole Owner; Partner, President, Treasurer, or Other Duty Authorized Official of a Corporation)

Of BBA Project, Inc.  
(name of M/WBE)

and certifies that since the date of its certification by

December 13, 2013

(SDO)

the certification has not been revoked nor has it expired nor has there been any change in the minority status of BBA Project, Inc.

(Name of M/WBE)

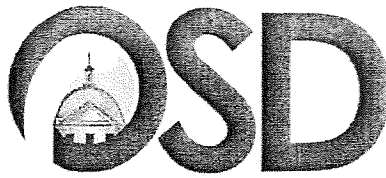
Junichi /Junichi (Jim) Kojima, President & CEO  
(Signature and Title of Person Making Affidavit)

Sworn to before me this 3rd day of April, 2014

Notary Public: Ilker Ikizoglu

ILKER IKIZOGLU  
Notary Public, State of New York  
No. 01IK6240995  
Qualified in Westchester County  
Commission Expires May 9, 2015

My commission expires: NOTE: The Offeror must attach the M/WBE's most recent certification letter or other documentation establishing M/WBE certification to this affidavit.



## OPERATIONAL SERVICES DIVISION

### SUPPLIER DIVERSITY OFFICE

Reginald Nunnally  
Executive Director

THE COMMONWEALTH OF MASSACHUSETTS  
Executive Office for Administration and Finance  
**OPERATIONAL SERVICES DIVISION**  
One Ashburton Place, Suite 1017  
Boston, MA 02108-1552

Deval L. Patrick  
Governor

Glen Shor  
Secretary

Gary J. Lambert  
Assistant Secretary for  
Operational Services

December 13, 2013

Mr. Junichi Kojima  
BBA Project, Inc.  
8 Westchester Plaza  
Elmsford, NY 10523

Dear Mr. Kojima:

Congratulations on your certification! The Supplier Diversity Office (SDO) is pleased to notify you that your firm was certified as a minority-owned business enterprise (MBE) with the certified business description, PROVIDE SOLUTIONS FOR PROCUREMENT AND DISTRIBUTOR OF TRANSIT PRODUCTS & MATERIAL AND PROJECT CONSULTING. **This letter serves as sole and exclusive proof of your firm's SDO certification.**

Your company will be listed in both the SDO Directory and in the Massachusetts Central Register, which are published at regular intervals. The SDO Directory is sent to other state agencies and private organizations that seek to fulfill MBE utilization requirements.

Furthermore, you have a continuing duty to notify SDO of a change in any information that is relevant to the firm's certification eligibility and to ensure that the information and documentation relied upon by SDO to certify or to maintain the certification of the business enterprise is accurate, complete and not misleading. You are required to notify SDO in writing of any change of such information or documentation within thirty calendar days. By way of example and not limitation, any change in ownership, control, investment, ongoing or independence may be considered material. Failure to abide by the continuing duty requirements shall constitute grounds for the business entity's decertification.

Certification is not a fixed designation and SDO reserves the right to monitor your company, do random spot checks, site visits and to conduct periodic reviews of your company's books, contracts, company structure, facilities, job locations; to seek other relevant information and documentation; and to revoke certification of your firm should this become necessary.

Your company's certification will automatically expire two years from the date of certification. If your company continues to meet all applicable certification criteria, no later than thirty (30) business days before your firm's certification renewal date of December 12, 2015, and every two years thereafter, please send SDO the following documents to renew your certification:

- 1) All company financial statements since the date of the company's then most recent SDO certification;

- 2) A signed copy of all U.S. Tax Returns and Schedules since the date of the company's then most recent SDO renewal;
- 3) Corporations must submit all Annual Reports/Letters of Good Standing filed with the Secretary of (YOUR) State since the date of the company's then most recent renewal; and

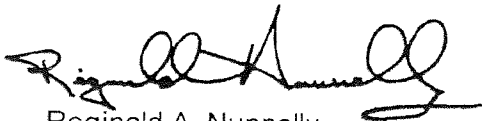
PLEASE NOTE THAT THE FOLLOWING ITEMS 4-6 CAN BE COMBINED ON ONE NOTARIZED STATEMENT:

- 4) A notarized statement that indicates:  
"I certify under the pains and penalties of perjury that no significant changes affecting eligibility as a certified Minority/Minority-Women/Woman business enterprise have occurred since the date of the company's then most recent date of SDO certification as defined in State regulations 425 CMR 2.00 The Supplier Diversity Office."
- 5) A notarized statement that indicates either "A or B" as referenced below.  
A. "I certify under the pains and penalties of perjury that (Insert your Company Name) has not received any contract(s) as a result of having been SDO certified."  
B. "I certify under the pains and penalties of perjury that: (Insert your Company Name) has received a contract(s) as a result of having been SDO certified." List all contract names, contract amounts and the names of the agencies with which you have contracted from the date of your last SDO renewal."
- 6) A notarized statement that indicates:  
"I certify under the pains and penalties of perjury that (Insert your Company Name) has (number) of employees for each year end given; include owner(s)."

Additionally, every six years, certified companies that wish to remain certified must undergo a substantive review of their certification status with a SDO certification specialist who will re-evaluate the company to determine whether it continues to meet the applicable certification criteria. If you wish to recertify your company when it becomes due for substantive review, you will need to submit the applicable recertification application and all required information and documentation to SDO no later than forty-five (45) business days prior to the date of certification expiration (i.e., the recertification date). At that time, a certification specialist will be assigned to evaluate your company and will make a report and recommendation to the Certification Committee (CC) on whether or not the company continues to meet the applicable certification criteria.

As provided above in 425 CMR 2.00, if your company has a change of company name, address or telephone number, please send a signed letter within thirty days of the change on company letterhead to notify SDO of the change. Please be sure to inform the agency or awarding authority you are contracting with of this change for proper payment.

Very truly yours,



Reginald A. Nunnally  
Executive Director

**SECTION B**  
**PART B TECHNICAL PROPOSAL AND**  
**STATEMENTS AND CERTIFICATIONS REGARDING ELIGIBILITY**

**M/WBE LETTER OF INTENT**  
**(TO BE COMPLETED BY M/WBE FIRM)**

Name of Offeror Firm: Bombardier Transit Corporation

Address: 101 Gibraltar Road – Suite 112

City: Horsham State: PA Zip: 19044

Name of M/WBE Firm: Contine Corporation

Address: 1820 Nagle Rd.

City: Erie State: PA Zip: 16510

Telephone: 814/899-0006

**Description of work to be performed by M/WBE firm:**

Manufacturing of miscellaneous primary parts (sheet metal, machined parts) and potentially some minor sub-assemblies

The Offeror is committed to utilizing the above-named M/WBE firm for the work described above.

The above work will not be sublet to a non-disadvantaged business enterprise at any tier. The undersigned will enter into a formal contract for the above work with the Offeror conditioned upon the Offeror's award and execution of a Contract with the MBTA.

**Affirmation**

The above-named M/WBE firm affirms that it will perform the portion of the Agreement as stated above.

By: Constance C. Ellet, President  
(Signature and Title of Authorized Official)

Date: 4/3/14

**If the Offeror does not receive award of the prime Agreement, any and all representations in this Letter of Intent and Affirmations shall be null and void.**

(Offeror shall submit this page for each M/WBE subcontractor.)

**SECTION B**  
**PART B TECHNICAL PROPOSAL AND**  
**STATEMENTS AND CERTIFICATIONS REGARDING ELIGIBILITY**

**M/WBE AFFIDAVIT**

STATE OF Pennsylvania Date: April 3, 2014

COUNTY OF Erie S.S.

The undersigned being duly sworn, deposes and says that he/she is the

President  
(Sole Owner; Partner, President, Treasurer, or Other Duty Authorized Official of a Corporation)

of Contine Corporation  
(name of M/WBE)

and certifies that since the date of its certification by  
Operational services Division, SDO (Commonwealth of Massachusetts)  
(SDO)

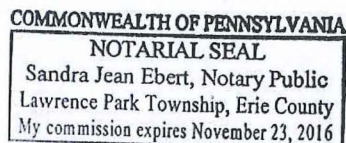
the certification has not been revoked nor has it expired nor has there been any change in the minority  
status of Contine Corporation  
(Name of M/WBE)

Contine C Ebert  
(Signature and Title of Person Making Affidavit)

Sworn to before me this 3rd day of April, 20 14

Notary Public: Sandra Jean Ebert

My commission expires: November 23, 2016



NOTE: The Offeror must attach the M/WBE's most recent certification letter or other documentation establishing M/WBE certification to this affidavit.



**OPERATIONAL SERVICES DIVISION**

**SUPPLIER DIVERSITY OFFICE**

Reginald Nunnally  
Executive Director

**THE COMMONWEALTH OF MASSACHUSETTS**  
Executive Office for Administration and Finance  
**OPERATIONAL SERVICES DIVISION**  
One Ashburton Place, Suite 1017  
Boston, MA 02108-1552

Deval L. Patrick  
Governor

Glen Shor  
Secretary

Gary J. Lambert  
Assistant Secretary for  
Operational Services

November 25, 2013

Ms. Constance Ellrich  
Contine Corporation  
1820 Nagle Road  
Erie, PA 16510

Dear Ms. Ellrich:

The Supplier Diversity Office (SDO) is in receipt of your certification renewal information (application). This consists of your request to renew the certification of Contine Corporation and the required certification renewal information and documentation. Accordingly, SDO has updated your file with this information and documentation. No substantive review of your company was done at this time. **This letter serves as sole and exclusive proof of your firm's SDO certification.**

Based on your certification renewal information (application), the certification of Contine Corporation as a woman-owned business enterprise (WBE) with the business description of MANUFACTURER OF MECHANICAL AND ELECTRO-MECHANICAL ASSEMBLIES BUILT TO CUSTOMER SPECIFICATIONS; CAPABILITIES INCLUDE MACHINING, LIGHT FABRICATION, AND PLASTIC INJECTION MOLDING; PROCUREMENT OF PARTS FOR SOME ASSEMBLIES AS A REGULAR DEALER has been renewed effective the date of this letter. The company will remain listed in the SDO Directory of certified businesses and The Massachusetts Central Register, which is published by the Office of the Secretary of State unless its certification is revoked. Unless revoked, this certification will last for a period of two years and will automatically expire as of December 20, 2015, unless by that date, the certification of the company is renewed again or the company is recertified.

To renew the company's certification at that time, you will need to submit the following information to SDO no later than 30 business days prior to December 20, 2015.

- 1) All company financial statements since the date of the company's then most recent SDO certification;
- 2) A signed copy of all U.S. Tax Returns and Schedules since the date of the company's then most recent SDO renewal;
- 3) Corporations must submit all Annual Reports/Letters of Good Standing filed with the Secretary of (YOUR) State since the date of the company's then most recent renewal; and

**PLEASE NOTE THAT THE FOLLOWING ITEMS 4-6 CAN BE COMBINED ON ONE NOTARIZED STATEMENT**

- 4) A notarized statement that indicates:

Tel: (617) 720-3300

[www.mass.gov/osd](http://www.mass.gov/osd)

TDD: (617) 727-2716

Fax: (617) 502-8841  
Follow us on Twitter: @Mass\_OSD

"I certify under the pains and penalties of perjury that no significant changes affecting eligibility as a certified Minority/Minority-Woman/Woman business enterprise have occurred since the

date of the company's then most recent date of SDO certification as defined in State regulations 425 CMR 2.00 The Massachusetts Supplier Diversity Office."

5) A notarized statement that indicates either "A or B" as referenced below.

- A. "I certify under the pains and penalties of perjury that (Insert your Company Name) has not received any contract(s) as a result of having been SDO certified."
- B. "I certify under the pains and penalties of perjury that: (Insert your Company Name) has received a contract(s) as a result of having been SDO certified." List all contract names, contract amounts and the names of the agencies with which you have contracted from the date of your last SDO renewal."

6) A notarized statement that indicates:

"I certify under the pains and penalties of perjury that (Insert your Company Name) has (number) of employees for each year end given; include owner(s)."

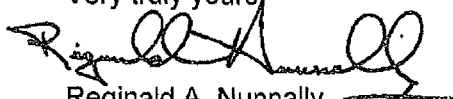
Furthermore, you have a continuing duty to notify SDO of a change in any information that is relevant to the firm's certification eligibility and to ensure that the information and documentation relied upon by SDO to certify or to maintain the certification of the business enterprise is accurate, complete and not misleading. You are required to notify SDO in writing of any change of such information or documentation within thirty calendar days. By way of example and not limitation, any change in ownership, control, investment, ongoing or independence may be considered material. Failure to abide by the continuing duty requirements shall constitute grounds for the business entity's decertification.

Additionally, every six years, certified companies that wish to remain certified must undergo a substantive review of their certification status with a SDO certification specialist who will re-evaluate the company to determine whether it continues to meet the applicable certification criteria. If you wish to recertify your company when it becomes due for substantive review, you will need to submit the applicable recertification application and all required information and documentation to SDO no later than forty-five (45) business days prior to the date of certification expiration (i.e., the recertification date). At that time, a certification specialist will be assigned to evaluate your company and will make a report and recommendation to the Certification Committee (CC) on whether or not the company continues to meet the applicable certification criteria.

As provided above in 425 CMR 2.00, if your company has a change of address or telephone number, please send a signed letter within thirty days of the change on company letterhead to notify SDO of the new address or telephone number.

During the period of your certification, if you have any further questions regarding your certification renewal, please direct them to Ms. Nedra D. White, Director of Certification, at (617) 502-8852.

Very truly yours,

  
Reginald A. Nunnally  
Executive Director

**SECTION B**  
**PART B TECHNICAL PROPOSAL AND**  
**STATEMENTS AND CERTIFICATIONS REGARDING ELIGIBILITY**

**M/WBE LETTER OF INTENT**  
**(TO BE COMPLETED BY M/WBE FIRM)**

Bombardier Transit Corporation  
101 Gibraltar Road – Suite 112  
Horsham, PA  
19044

Davis Freight Management, Inc  
13,238 Broadway  
Alden, New York  
14004  
Telephone: 716-902-4244

**Description of work to be performed by M/WBE firm:**

Transportation services of the Orange and Red line cars from our site in LaPocatière Quebec to a final assembly site in Plattsburgh & Massachusetts and from that final assembly sites, to MBTA facilities.

The Offeror is committed to utilizing the above-named M/WBE firm for the work described above.

The above work will not be sublet to a non-disadvantaged business enterprise at any tier. The undersigned will enter into a formal contract for the above work with the Offeror conditioned upon the Offeror's award and execution of a Contract with the MBTA.

**Affirmation**

The above-named M/WBE firm affirms that it will perform the portion of the Agreement as stated above.

By: \_\_\_\_\_

*[Signature]*  
STATE OF NEW YORK  
Notary Public

(Signature and Title of Authorized Official)

DOUGLAS R. HAAK  
Notary Public – State of New York  
No: 01HA6298782  
QUALIFIED IN Erie County  
My Commission Expires 03/17/2018

Date: 03 APRIL 2014

**If the Offeror does not receive award of the prime Agreement, any and all representations in this**

**SECTION B**  
**PART B TECHNICAL PROPOSAL AND**  
**STATEMENTS AND CERTIFICATIONS REGARDING ELIGIBILITY**

**M/WBE AFFIDAVIT**

STATE OF New York Date: 03 APRIL 2014

COUNTY OF ERIE S.S.

The undersigned being duly sworn, deposes and says that he/she is the

PRESIDENT  
(Sole Owner; Partner, President, Treasurer, or Other Duty Authorized Official of a Corporation)

of DAVIS FREIGHT MANAGEMENT, INC.  
(name of M/WBE)

and certifies that since the date of its certification by  
OSD - Boston, MA, October 17, 2014  
(SDO)

the certification has not been revoked nor has it expired nor has there been any change in the minority status of DAVIS FREIGHT MANAGEMENT, INC.  
(Name of M/WBE)

Wendy D. Schoeloch, President  
(Signature and Title of Person Making Affidavit)

Sworn to before me this 03<sup>rd</sup> day of APRIL, 2014

Notary Public: [Signature]

My commission expires: 17 March 2018

**DOUGLAS R. HAAK**  
Notary Public - State of New York  
No: 01HA6298782  
QUALIFIED IN Erie County  
My Commission Expires 03/17/2018

NOTE: The Offeror must attach the M/WBE's most recent certification letter or other documentation establishing M/WBE certification to this affidavit.



**OPERATIONAL SERVICES DIVISION**

**SUPPLIER DIVERSITY OFFICE**

Reginald Nunnally  
Executive Director

**THE COMMONWEALTH OF MASSACHUSETTS**  
Executive Office for Administration and Finance  
**OPERATIONAL SERVICES DIVISION**  
One Ashburton Place, Suite 1017  
Boston, MA 02108-1552

Deval L. Patrick  
Governor

Timothy P. Murray  
Lieutenant Governor

Jay Gonzalez  
Secretary

Gary J. Lambert  
Assistant Secretary for  
Operational Services

October 3, 2012

Ms. Wendy Davis-Schlabach  
Davis Freight Management, Inc.  
13238 Broadway Street  
Alden, NY 14004

Dear Ms. Davis-Schlabach:

The Supplier Diversity Office (SDO) is in receipt of your certification renewal information (application). This consists of your request to renew the certification of Davis Freight Management, Inc. and the required certification renewal information and documentation. Accordingly, SDO has updated your file with this information and documentation. No substantive review of your company was done at this time. **This letter serves as sole and exclusive proof of your firm's SDO certification.**

Based on your certification renewal information (application), the certification of Davis Freight Management, Inc. as a woman-owned business enterprise (WBE) with the business description of DOMESTIC AND INTERNATIONAL FREIGHT FORWARDING AND WAREHOUSING has been renewed effective the date of this letter. The company will remain listed in the SDO Directory of certified businesses and The Massachusetts Central Register, which is published by the Office of the Secretary of State unless its certification is revoked. Unless revoked, this certification will last for a period of two years and will automatically expire as of October 17, 2014, unless by that date, the certification of the company is renewed again or the company is recertified.

To renew the company's certification at that time, you will need to submit the following information to SDO no later than 30 business days prior to October 17, 2014.

- 1) All company financial statements since the date of the company's then most recent SDO certification;
- 2) A signed copy of all U.S. Tax Returns and Schedules since the date of the company's then most recent SDO renewal;
- 3) Corporations must submit all Annual Reports/Letters of Good Standing filed with the Secretary of (YOUR) State since the date of the company's then most recent renewal; and

**PLEASE NOTE THAT THE FOLLOWING ITEMS 4-6 CAN BE COMBINED ON ONE NOTARIZED STATEMENT**

- 4) A notarized statement that indicates:

"I certify under the pains and penalties of perjury that no significant changes affecting eligibility as a certified Minority/Minority-Women/Woman business enterprise have occurred since the

Tel: (617) 720-3300

[www.mass.gov/osd](http://www.mass.gov/osd)

TDD: (617) 727-2716

Fax: (617) 502-8841  
Follow us on Twitter: @Mass\_OSD

date of the company's then most recent date of SDO certification as defined in State regulations 425 CMR 2.00 The Massachusetts Supplier Diversity Office."

5) A notarized statement that indicates either "A or B" as referenced below.

- A. "I certify under the pains and penalties of perjury that (Insert your Company Name) has not received any contract(s) as a result of having been SDO certified."
- B. "I certify under the pains and penalties of perjury that: (Insert your Company Name) has received a contract(s) as a result of having been SDO certified." List all contract names, contract amounts and the names of the agencies with which you have contracted from the date of your last SDO renewal."

6) A notarized statement that indicates:

"I certify under the pains and penalties of perjury that (Insert your Company Name) has (number) of employees for each year end given; include owner(s)."

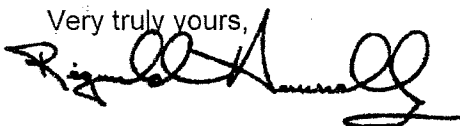
Furthermore, you have a continuing duty to notify SDO of a change in any information that is relevant to the firm's certification eligibility and to ensure that the information and documentation relied upon by SDO to certify or to maintain the certification of the business enterprise is accurate, complete and not misleading. You are required to notify SDO in writing of any change of such information or documentation within thirty calendar days. By way of example and not limitation, any change in ownership, control, investment, ongoing or independence may be considered material. Failure to abide by the continuing duty requirements shall constitute grounds for the business entity's decertification.

Additionally, every six years, certified companies that wish to remain certified must undergo a substantive review of their certification status with a SDO certification specialist who will re-evaluate the company to determine whether it continues to meet the applicable certification criteria. If you wish to recertify your company when it becomes due for substantive review, you will need to submit the applicable recertification application and all required information and documentation to SDO no later than forty-five (45) business days prior to the date of certification expiration (i.e., the recertification date). At that time, a certification specialist will be assigned to evaluate your company and will make a report and recommendation to the Certification Committee (CC) on whether or not the company continues to meet the applicable certification criteria.

As provided above in 425 CMR 2.00, if your company has a change of address or telephone number, please send a signed letter within thirty days of the change on company letterhead to notify SDO of the new address or telephone number.

During the period of your certification, if you have any further questions regarding your certification renewal, please direct them to Ms. Nedra D. White, Certification Specialist, at (617) 502-8852.

Very truly yours,



Reginald A. Nunnally  
Executive Director

**SECTION B**  
**PART B TECHNICAL PROPOSAL AND**  
**STATEMENTS AND CERTIFICATIONS REGARDING ELIGIBILITY**

**M/WBE LETTER OF INTENT**  
**(TO BE COMPLETED BY M/WBE FIRM)**

**Name of Offeror Firm:** TDG Transit Design Group (offering to Bombardier Transit Corporation)

**Address:** 4450 Witmer Indus. Estates Drive Unit#4

**City:** Niagara Falls **State:** NY **Zip:** 14305

**Name of M/WBE Firm:** Tony Baird Electronics

**Address:** 407 South Warren Street

**City:** Syracuse **State:** NY **Zip:** 13202

**Telephone:** 315-415-3221

**Description of work to be performed by M/WBE firm:**

- Wire Harnesses
- Select Assembly

The Offeror is committed to utilizing the above-named M/WBE firm for the work described above.

The above work will not be sublet to a non-disadvantaged business enterprise at any tier. The undersigned will enter into a formal contract for the above work with the Offeror conditioned upon the Offeror's award and execution of a Contract with the MBTA.

**Affirmation**

The above-named M/WBE firm affirms that it will perform the portion of the Agreement as stated above.

By: \_\_\_\_\_

(Signature and Title of Authorized Official)

Date: \_\_\_\_\_

**If the Offeror does not receive award of the prime Agreement, any and all representations in this Letter of Intent and Affirmations shall be null and void.**

(Offeror shall submit this page for each M/WBE subcontractor.)



"Salvatore D 'Amelio "

<sdamelio@tonybairdelectronics.com>

11/04/2014 02:54 PM

To: Sophie Moore/CA/Transport/Bombardier@Transport,

cc: Tony Baird <tbaird@tonybairdelectronics.com>

bcc:

Subject: FW: Tony Baird Electronics, Inc. Completed DBE application receipt confirmation

SecurityLevel: Internal

History:

✉ This message has been replied to.

Hi Sophie,

Please see the below email string in regards to our completed DBE application in Mass.

Thank you for your continued support of our small business!

Please let us know if you have any additional questions.

Best,

Sal D'Amelio | VP of Operations

Tony Baird Electronics, Inc.

Desk: 315-415-3221

[www.tonybairdelectronics.com](http://www.tonybairdelectronics.com)

New York State Certified MBE, DBE  
SBA Certified 8(a)/SDB, SDVOSB, HUBZone  
ITAR Registered

---

**From:** Silva, Jose (OSD) <jose.silva@state.ma.us>

**Sent:** Tuesday, April 08, 2014 1:29 PM

**To:** Salvatore D'Amelio

**Cc:** Silva, Jose (OSD)

**Subject:** Tony Baird Electronics, Inc. Completed DBE application receipt confirmation

Mr. Salvatore D'Amelio

**Tony Baird Electronics, Inc.**

407 S. Warren Street

Syracuse, NY 13202

Dear: Mr. D'Amelio,:

The Supplier Diversity Office (SDO) received your DBE certification application, including the required supporting documentation; on April 7, 2014.

You will receive written notification once your certification application has been assigned to a Certification Specialist for review.

If you have questions, please contact SDO at 617-502-8831.

Thank you.

Jose C. Silva  
Certification Intake Specialist  
Supplier Diversity Office(SDO)  
The McCormack Building  
One Ashburton Place, Room 1313  
Boston MA, 02108  
Phone: (617)502-8844  
Fax: (617)502-8841  
<http://www.mass.gov/sdo>



OPERATIONAL SERVICES DIVISION *This email and any files transmitted with it are confidential and intended solely for the use of the individual or entity to whom they are addressed. If you are not the named addressee you should not disseminate, distribute or copy this e-mail. If you have received this email in error please notify the system manager at [osdmis@MassMail.state.ma.us](mailto:osdmis@MassMail.state.ma.us)*

**SECTION B**  
**PART B TECHNICAL PROPOSAL AND**  
**STATEMENTS AND CERTIFICATIONS REGARDING ELIGIBILITY**

**M/WBE LETTER OF INTENT**  
**(TO BE COMPLETED BY M/WBE FIRM)**

Name of Offeror Firm: Bombardier Transit Corporation

Address: 101 Gibraltar Road – Suite 112

City: Horsham                      State: PA                      Zip: 19044

Name of M/WBE Firm: UTC RAS Inc

Address: 501 Highland Avenue

City: Morton                      State: PA                      Zip: 19070

Telephone: 610-328-1100

**Description of work to be performed by M/WBE firm:**

Manufacturing of the Wheel and Axle Assembly

The Offeror is committed to utilizing the above-named M/WBE firm for the work described above.

The above work will not be sublet to a non-disadvantaged business enterprise at any tier. The undersigned will enter into a formal contract for the above work with the Offeror conditioned upon the Offeror's award and execution of a Contract with the MBTA.

**Affirmation**

The above-named M/WBE firm affirms that it will perform the portion of the Agreement as stated above.

By: \_\_\_\_\_

*Betty A. Scate* CEO/PRESIDENT  
(Signature and Title of Authorized Official)

Date: \_\_\_\_\_

5-12-2014

**If the Offeror does not receive award of the prime Agreement, any and all representations in this Letter of Intent and Affirmations shall be null and void.**

(Offeror shall submit this page for each M/WBE subcontractor.)

SECTION B  
PART B TECHNICAL PROPOSAL AND  
STATEMENTS AND CERTIFICATIONS REGARDING ELIGIBILITY

M/WBE AFFIDAVIT

STATE OF PENNSYLVANIADate: 5/10/2014COUNTY OF DELAWARE

S.S.

The undersigned being duly sworn, deposes and says that he/she is the

BETTY A. SCOTT CEO/PRESIDENT

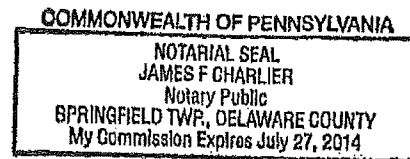
(Sole Owner; Partner, President, Treasurer, or Other Duty Authorized Official of a Corporation)

of UTCRAS INC  
(name of M/WBE)

and certifies that since the date of its certification by

COMMONWEALTH OF MA  
(SDO)the certification has not been revoked nor has it expired nor has there been any change in the  
minority status of UTCRAS INC  
(Name of M/WBE)Betty A. Scott CEO/PRESIDENT

(Signature and Title of Person Making Affidavit)

Sworn to before me this 10 day of MAY, 2014Notary Public: James F. CharlierMy commission expires: JULY 27, 2014

NOTE: The Offeror must attach the M/WBE's most recent certification letter or other documentation establishing M/WBE certification to this affidavit.

**OPERATIONAL SERVICES DIVISION****SUPPLIER DIVERSITY OFFICE**

Reginald Nunnally  
Executive Director

**THE COMMONWEALTH OF MASSACHUSETTS**  
Executive Office for Administration and Finance  
**OPERATIONAL SERVICES DIVISION**  
One Ashburton Place, Suite 1017  
Boston, MA 02108-1552

Deval L. Patrick  
Governor

Glen Shor  
Secretary

Gary J. Lambert  
Assistant Secretary for  
Operational Services

May 12, 2014

Ms. Betty Scott  
UTCRA, Inc. fka: UTC/Rail & Airsources, Inc.  
17 Country Lane  
Malvern, PA 19355

Dear Ms. Scott:

Congratulations on your certification! The Supplier Diversity Office (SDO) is pleased to notify you that your firm was certified as a woman-owned business enterprise (WBE) with the certified business description, **PRECISION MACHINE AND SHEET METAL FABRICATORS: SPECIALIZING IN RAILROAD WHEELS AND AXLE SETS, TRUCK ASSEMBLY, REMANUFACTURE ROLLER BEARINGS, TRAINLINE JUMPERS AND OTHER METAL COMPONENT RAILROAD TRAIN CAR ASSEMBLIES, BUILT TO PRINT, ALSO HEAVY STRUCTURAL STEEL INFRASTRUCTURE FABRICATORS FOR BRIDGE WORK, DISTRIBUTORS OF ALP DISC BRAKE.** This letter serves as sole and exclusive proof of your firm's SDO certification.

Your company will be listed in both the SDO Directory and in the Massachusetts Central Register, which are published at regular intervals. The SDO Directory is sent to other state agencies and private organizations that seek to fulfill WBE utilization requirements.

Furthermore, you have a continuing duty to notify SDO of a change in any information that is relevant to the firm's certification eligibility and to ensure that the information and documentation relied upon by SDO to certify or to maintain the certification of the business enterprise is accurate, complete and not misleading. You are required to notify SDO in writing of any change of such information or documentation within thirty calendar days. By way of example and not limitation, any change in ownership, control, investment, ongoing or independence may be considered material. Failure to abide by the continuing duty requirements shall constitute grounds for the business entity's decertification.

Certification is not a fixed designation and SDO reserves the right to monitor your company, do random spot checks, site visits and to conduct periodic reviews of your company's books, contracts, company structure, facilities, job locations; to seek other relevant information and documentation; and to revoke certification of your firm should this become necessary.

Your company's certification will automatically expire two years from the date of certification. If your company continues to meet all applicable certification criteria, no later than thirty (30) business days before your firm's certification renewal date of May 9, 2016, and every two years thereafter, please send SDO the following documents to renew your certification:

- 1) All company financial statements since the date of the company's then most recent SDO certification;

- 2) A signed copy of all U.S. Tax Returns and Schedules since the date of the company's then most recent SDO renewal;
- 3) Corporations must submit all Annual Reports/Letters of Good Standing filed with the Secretary of (YOUR) State since the date of the company's then most recent renewal; and

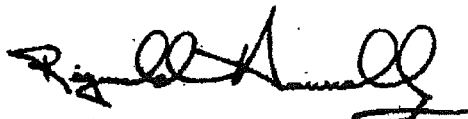
PLEASE NOTE THAT THE FOLLOWING ITEMS 4-6 CAN BE COMBINED ON ONE NOTARIZED STATEMENT:

- 4) A notarized statement that indicates:  
"I certify under the pains and penalties of perjury that no significant changes affecting eligibility as a certified Minority/Minority-Woman/Woman business enterprise have occurred since the date of the company's then most recent date of SDO certification as defined in State regulations 425 CMR 2.00 The Supplier Diversity Office."
- 5) A notarized statement that indicates either "A or B" as referenced below.  
A. "I certify under the pains and penalties of perjury that (Insert your Company Name) has not received any contract(s) as a result of having been SDO certified."  
B. "I certify under the pains and penalties of perjury that: (Insert your Company Name) has received a contract(s) as a result of having been SDO certified." List all contract names, contract amounts and the names of the agencies with which you have contracted from the date of your last SDO renewal."
- 6) A notarized statement that indicates:  
"I certify under the pains and penalties of perjury that (Insert your Company Name) has (number) of employees for each year end given; include owner(s)."

Additionally, every six years, certified companies that wish to remain certified must undergo a substantive review of their certification status with a SDO certification specialist who will re-evaluate the company to determine whether it continues to meet the applicable certification criteria. If you wish to recertify your company when it becomes due for substantive review, you will need to submit the applicable recertification application and all required information and documentation to SDO no later than forty-five (45) business days prior to the date of certification expiration (i.e., the recertification date). At that time, a certification specialist will be assigned to evaluate your company and will make a report and recommendation to the Certification Committee (CC) on whether or not the company continues to meet the applicable certification criteria.

As provided above in 425 CMR 2.00, if your company has a change of company name, address or telephone number, please send a signed letter within thirty days of the change on company letterhead to notify SDO of the change. Please be sure to inform the agency or awarding authority you are contracting with of this change for proper payment.

Very truly yours,



Reginald A. Nunnally  
Executive Director

**CERTIFICATION OF COMPLIANCE WITH  
REGULATION 102 CMR 12.00**

B-90

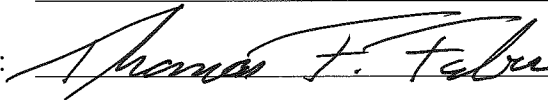
**SECTION B**  
**PART B TECHNICAL PROPOSAL AND**  
**STATEMENTS AND CERTIFICATIONS REGARDING ELIGIBILITY**

**CERTIFICATION OF COMPLIANCE WITH REGULATION 102 CMR 12.00**  
**DEPENDENT CARE ASSISTANCE PROGRAM INCLUDING CHILD CARE**

The undersigned hereby certifies that the Offeror is in compliance with Section 7 of Chapter 521 of the Acts of 1990, as amended by Chapter 329 of the Acts of 1991, and the regulations issued pursuant thereto, 102 CMR 12.00, and that the Offeror is either a qualified employer having fifty (50) or more full time employees and has established a dependent care assistance program, or offers its employees child care tuition assistance or on-site or near site subsidized child care placements, or is an exempt employer.

OFFEROR: Bombardier Transit Corporation

AUTHORIZED SIGNATURE:



NAME (PRINTED): Thomas F. Faber

TITLE: Business Development & Sales Executive

DATE: May 13, 2014

# **PROHIBITED USE OF UNDOCUMENTED WORKERS CERTIFICATION**

B-91

**SECTION B**  
**PART B TECHNICAL PROPOSAL AND**  
**STATEMENTS AND CERTIFICATIONS REGARDING ELIGIBILITY**

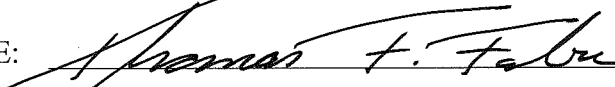
**PROHIBITED USE OF UNDOCUMENTED WORKERS CERTIFICATION**

The Offeror agrees to comply with Massachusetts Executive Order 481, which applies to all state agencies in the Executive Branch, including all executive offices, boards, commissions, agencies, departments, divisions, councils, bureaus, and offices, now existing and hereafter established. As it is the policy of the Executive Branch to prohibit the use of undocumented workers in connection with the performance of state contracts, all contracts entered into after February 23, 2007 require that contractors, as a condition of receiving Commonwealth funds under any Executive Branch contract, make the following certification:

**CERTIFICATION:**

As evidenced by the signature of the Offeror's Authorized Signatory below, the Offeror certifies under the pains and penalties of perjury that the Offeror shall not knowingly use undocumented workers in connection with the performance of all Executive Branch contracts; that pursuant to federal requirements, the Offeror shall verify the immigration status of all workers assigned to such contracts without engaging in unlawful discrimination; and that the Offeror shall not knowingly or recklessly alter, falsify, or accept altered or falsified documents from any such worker(s). The Offeror understands and agrees that breach of any of these terms during the period of each contract may be regarded as a material breach, subjecting the Offeror to sanctions, including but not limited to monetary penalties, withholding of payments, contract suspension or termination.

OFFEROR: \_\_\_\_\_ Bombardier Transit Corporation

AUTHORIZED SIGNATURE:  \_\_\_\_\_

NAME (PRINTED): \_\_\_\_\_ Thomas F. Faber

TITLE: \_\_\_\_\_ Business Development & Sales Executive

DATE: \_\_\_\_\_ May 13, 2014

The Offeror is required to sign this Certification only once and may provide a copy of the signed Certification for any contract executed with an Executive Branch Department. A copy of this signed Certification must be attached to the "record copy" of all contracts with this Offeror that are filed with the contracting Department.

**CERTIFICATION REGARDING COMPANIES  
DOING BUSINESS WITH OR IN  
NORTHERN IRELAND**

B-92

**SECTION B**  
**PART B TECHNICAL PROPOSAL AND**  
**STATEMENTS AND CERTIFICATIONS REGARDING ELIGIBILITY**

**CERTIFICATION REGARDING COMPANIES DOING BUSINESS**  
**WITH OR IN NORTHERN IRELAND**

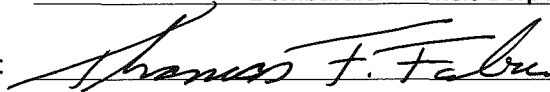
Pursuant to M.G.L. c.7 §§ 22C-F, the undersigned, being an authorized representative of the Offeror, hereby certifies under the pains and penalties of perjury that (check applicable item):

1. ☒ The Offeror does not employ ten (10) or more employees in an office or other facility located in Northern Ireland.
2. ☐ The Offeror does employ ten (10) or more employees in an office or other facility located in Northern Ireland and certifies that:
  - (a) ☐ The Offeror does not discriminate in employment, compensation, or the terms, conditions and privileges of employment on account of religious or political belief; and
  - (b) ☐ The Offeror promotes religious tolerance within the work place, and the eradication of any manifestations of religious and other illegal discrimination; and
  - (c) ☐ The Offeror is not engaged in the manufacture, distribution or sale of firearms, munitions, including rubber or plastic bullets, tear gas, armored vehicles or military aircraft for use or deployment in Northern Ireland.
3. ☐ The Offeror does not certify to either 1 or 2(a-c) above.

Signed under the pains and penalties of perjury:

OFFEROR: Bombardier Transit Corporation

AUTHORIZED SIGNATURE:



NAME (PRINTED): Thomas F. Faber

TITLE: Business Development & Sales Executive

DATE: May 13, 2014

# MBTA RETIREE CERTIFICATION

**SECTION B**  
**PART B TECHNICAL PROPOSAL AND**  
**STATEMENTS AND CERTIFICATIONS REGARDING ELIGIBILITY**

**MBTA RETIREE CERTIFICATION**

In accordance with Section 3.3 of the MBTA Hiring of MBTA Retirees Policy dated June 5, 2009, THE CONTRACTOR IS REQUIRED TO NOTIFY THE MBTA THAT AN MBTA RETIREE HAS BEEN INCLUDED AS A MEMBER OF ITS TEAM.

Every contractor is required to notify the MBTA as part of the bidding process that an MBTA retiree will be included as a member of its team. The contractor shall be required to provide the name and date of retirement for each MBTA retiree on the team. Every MBTA retiree working for the MBTA under this condition shall do so in accordance with M.G.L., c 268A, Section 5.

Project Name: \_\_\_\_\_

Is a MBTA Retiree(s) presently included as a member of the team?

Yes \_\_\_\_\_ No   X  

If you responded "yes" to the above question, the Contractor certifies that the following MBTA Retirees are assigned to the team for this contract.

<u>Firm Name</u> (Please Print)	<u>Retiree Name</u>	<u>MBTA Retirement Date</u>
------------------------------------	---------------------	-----------------------------

_____	_____	_____
_____	_____	_____
_____	_____	_____

OFFEROR: \_\_\_\_\_ Bombardier Transit Corporation

AUTHORIZED SIGNATURE: \_\_\_\_\_

NAME (PRINTED): Thomas F. Faber

Julie Turgeon

TITLE: Business Development  
& Sales Executive

Vice-President,  
Contracts & Legal Affairs

DATE: May 13, 2014

May 13, 2014

If additional space is required, please enclose attachments to be included with your proposal.

# MANUFACTURER SUBCONTRACTORS/ SUPPLIERS

B-94

## SECTION B

**PART B TECHNICAL PROPOSAL AND STATEMENTS AND CERTIFICATIONS REGARDING ELIGIBILITY****Manufacturer Subcontractors/Suppliers**

(re: Section C5.15E notes the minimum “Major Equipment ” suppliers to be listed. Additional suppliers, if known, should be provided)

	<b><u>Domestic (D)</u></b> <b><u>Foreign (F)</u></b>	<b><u>Assignments</u></b>	<b><u>M/WBE Status</u></b>
NAME: <u>Bombardier Transit Corporation</u>	<u>Foreign</u>	<u>Carbody</u>	<u>Refer to form B-87</u>
ADDRESS: <u>101 Gibraltar Rd, Suite 112, Horsham PA 19044 USA</u>	<u>Foreign</u>	<u>Cab Equipment &amp; Controls</u>	<u>Refer to form B-87</u>
	<u>Foreign</u>	<u>Trainlines &amp; Networks</u>	<u>Refer to form B-87</u>
NAME: <u>Bombardier Transportation (Holdings) USA Inc.</u>	<u>Domestic</u>	<u>Propulsion</u>	<u>N/A</u>
ADDRESS: <u>1501 Lebanon Church Road, Pittsburgh,</u>	<u>Domestic</u>	<u>Vehicle Monitoring System</u>	<u>N/A</u>
<u>PA 15236-1491</u>	<u>Domestic</u>	<u>Network Equipment &amp; Integrator</u>	<u>N/A</u>
NAME: <u>Transtech Corp. USA</u>	<u>Domestic</u>	<u>Auxiliary Power and Low Voltage DC Power</u>	<u>N/A</u>
ADDRESS: <u>2220 Airport Industrial Drive, Suite 900, Ball Ground,</u>			
<u>GA 30107</u>			
NAME: <u>PCS Power Converter Solutions GmbH</u>	<u>Foreign</u>	<u>Auxiliary Power and Low Voltage DC Power</u>	<u>N/A</u>
ADDRESS: <u>Am Borsigturm 100, 13507 Berlin, Deutschland</u>			

**Manufacturer Subcontractors/Suppliers**  
**(re: Section C5.15E notes the minimum “Major Equipment ”**  
**suppliers to be listed. Additional suppliers, if known,**  
**should be provided)**

	<b><u>Domestic (D)</u></b> <b><u>Foreign (F)</u></b>	<b><u>Assignments</u></b>	<b><u>M/WBE Status</u></b>
NAME: <u>ABB Inc.</u>	<u>Foreign</u>	<u>Auxiliary Power and Low Voltage DC Power</u>	<u>N/A</u>
ADDRESS: <u>180 Boul. Brunswick, Pointe Claire, Qc, H9R 5P9, Canada</u>	<u></u>	<u></u>	<u></u>
NAME: <u>Mitsubishi Electric Power Products, Inc.</u>	<u>Domestic</u>	<u>HVAC</u>	<u>N/A</u>
ADDRESS: <u>530 Keystone Drive, Warrendale, PA 15086-7538</u>	<u></u>	<u></u>	<u></u>
NAME: <u>Shijiazhuang King Transportation Equipment Co., Ltd.</u>	<u>Foreign</u>	<u>HVAC</u>	<u>N/A</u>
ADDRESS: <u>No.255 Changjiang Avenue, Hi-Tech District,</u>	<u></u>	<u></u>	<u></u>
<u>Shijiazhuang, Hebei, China. Zip code: 050035</u>	<u></u>	<u></u>	<u></u>
NAME: <u>Wabtec Passenger Transit</u>	<u>Domestic</u>	<u>Couplers &amp; Draft Gear</u>	<u>N/A</u>
ADDRESS: <u>P.O. Box 11, Spartanburg, S.C. 29304-0011</u>	<u></u>	<u></u>	<u></u>
NAME: <u>Voith Turbo, Inc.</u>	<u>Domestic</u>	<u>Couplers &amp; Draft Gear</u>	<u>N/A</u>
ADDRESS: <u>25 Winship Road, 17406–8419 York (PA), USA</u>	<u></u>	<u></u>	<u></u>
NAME: <u>Dellner Inc.</u>	<u>Domestic</u>	<u>Couplers &amp; Draft Gear</u>	<u>N/A</u>
ADDRESS: <u>8334-H Arrowridge Blvd., Charlotte, NC 28273 USA</u>	<u></u>	<u></u>	<u></u>

**Manufacturer Subcontractors/Suppliers**  
**(re: Section C5.15E notes the minimum “Major Equipment ”**  
**suppliers to be listed. Additional suppliers, if known,**  
**should be provided)**

	<b><u>Domestic (D)</u></b> <b><u>Foreign (F)</u></b>	<b><u>Assignments</u></b>	<b><u>M/WBE Status</u></b>
NAME: <u>UTCRA (UTC)</u>	<u>Domestic</u>	<u>Wheel Sets</u>	<u>N/A</u>
ADDRESS: <u>501 Highland Ave. Morton PA 19070</u>	<u></u>	<u></u>	<u></u>
NAME: <u>Penn Machine Company</u>	<u>Domestic</u>	<u>Wheel Sets</u>	<u>N/A</u>
ADDRESS: <u>310 Innovation Drive, Blairsville, PA 15717</u>	<u></u>	<u></u>	<u></u>
NAME: <u>Orx Railway Corp.</u>	<u>Domestic</u>	<u>Wheel Sets</u>	<u>N/A</u>
ADDRESS: <u>One Park Avenue, Tipton, PA 16684 USA</u>	<u></u>	<u></u>	<u></u>
NAME: <u>Wabtec Passenger Transit</u>	<u>Domestic</u>	<u>Air Brake Equipment &amp; Controls (Friction Brake &amp; Pneumatic System</u>	<u>N/A</u>
ADDRESS: <u>P.O. Box 11, Spartanburg, S.C. 29304-0011</u>	<u></u>	<u></u>	<u></u>
NAME: <u>Knorr Brake Company, LLC</u>	<u>Domestic</u>	<u>Air Brake Equipment &amp; Controls (Friction Brake &amp; Pneumatic System</u>	<u>N/A</u>
ADDRESS: <u>1 Arthur Peck Drive, Westminster, MD 21157</u>	<u></u>	<u></u>	<u></u>

**Manufacturer Subcontractors/Suppliers**  
**(re: Section C5.15E notes the minimum “Major Equipment ”**  
**suppliers to be listed. Additional suppliers, if known,**  
**should be provided)**

		<b><u>Domestic (D)</u></b> <b><u>Foreign (F)</u></b>	<b><u>Assignments</u></b>	<b><u>M/WBE Status</u></b>
NAME:	<u>Faiveley Transport North America</u>	<u>Domestic</u>	<u>Air Brake Equipment &amp; Controls (Friction Brake &amp; Pneumatic System</u>	<u>N/A</u>
ADDRESS:	<u>50 Beechtree Boulevard - Greenville, SC 29605 – USA</u>			
NAME:	<u>Ansaldo STS USA Inc. (US&amp;S)</u>	<u>Domestic</u>	<u>Cab Signal Equipment</u>	<u>N/A</u>
ADDRESS:	<u>1000 Technology Drive, Pittsburgh, PA 15219-3120</u>			
NAME:	<u>Vapor Stone Rail Systems</u>	<u>Domestic</u>	<u>Door Systems (Doors &amp; Door Controls)</u>	<u>N/A</u>
ADDRESS:	<u>72 Arizona Avenue, Plattsburgh, NY USA 12903</u>	<u>Domestic</u>	<u>Gap Mitigation Device</u>	<u>N/A</u>
		<u>Domestic</u>	<u>Door open pushbutton</u>	<u>N/A</u>
NAME:	<u>Nanjing Kangni Mechanical &amp; Electrical Co. Ltd.</u>	<u>Foreign</u>	<u>Door Systems (Doors &amp; Door Controls)</u>	<u>N/A</u>
ADDRESS:	<u>39 Mid.Mofan Rd., Nanjing 210013 China</u>	<u>Foreign</u>	<u>Gap Mitigation Device</u>	<u>N/A</u>
		<u>Foreign</u>	<u>Door open pushbutton</u>	<u>N/A</u>
NAME:	<u>Freedman Seating Co.</u>	<u>Domestic</u>	<u>Seats</u>	<u>N/A</u>
ADDRESS:	<u>4545 W. Augusta Blvd Chicago, IL 60651</u>			

**Manufacturer Subcontractors/Suppliers**  
**(re: Section C5.15E notes the minimum “Major Equipment ”**  
**suppliers to be listed. Additional suppliers, if known,**  
**should be provided)**

NAME: Kustom Seating Unlimited, Inc.

ADDRESS: 3000-3135 Madison Street, Bellwood, IL 60104

NAME: Singapore Technologies Electronics (Shanghai) Co., Ltd.

ADDRESS: 3rd Floor, Building 6 No.1151 LianXi Road Pudong

Shanghai, China 201204

NAME: Technologies Axion ltd,CA

ADDRESS: 151, Du Parc de l’Innovation Street, La Pocatière

QC G0R 1Z0 Canada

NAME: ISC Applied Systems Corp.

ADDRESS: 290 Labrosse, Pointe-Claire, QC H9R 6A6 Canada

**Domestic (D)**  
**Foreign (F)**

**Assignments**

**M/WBE Status**

Domestic Seats N/A

Foreign but offers D content Communications Equipment N/A

Foreign but offers D content CCTV N/A

Foreign but offers D content LCD Monitors N/A

Foreign but offers D content Active Route Maps N/A

Foreign but offers D content Passenger Counting System N/A

Domestic Communications Equipment N/A

Domestic Communications Equipment N/A

**Manufacturer Subcontractors/Suppliers**  
**(re: Section C5.15E notes the minimum “Major Equipment ”**  
**suppliers to be listed. Additional suppliers, if known,**  
**should be provided)**

	<b><u>Domestic (D)</u></b> <b><u>Foreign (F)</u></b>	<b><u>Assignments</u></b>	<b><u>M/WBE Status</u></b>
NAME: <u>TDG Transit Design Group</u>	<u>Domestic</u>	<u>Lighting</u>	<u>Refer to form B-87</u>
ADDRESS: <u>9-3770A Laird Road, Mississauga, ON L5L 0A7 Canada</u>	<u></u>	<u></u>	<u></u>
NAME: <u>Shenzhen Hengzhiyuan Electric Appliance Co.,Ltd.</u>	<u>Foreign</u>	<u>Lighting</u>	<u>N/A</u>
ADDRESS: <u>No. C Bldg.,1St Industrial Park,Guanlong, Xili Town,</u>	<u></u>	<u></u>	<u></u>
<u>Nanshan District Shenzhen, Guangdong, China, 518055 CHN</u>	<u></u>	<u></u>	<u></u>
NAME: <u>Luminator, A LTG Company</u>	<u>Domestic</u>	<u>Lighting</u>	<u>N/A</u>
ADDRESS: <u>900 Klein Road, Plano, Texas 75074 USA</u>	<u></u>	<u></u>	<u></u>
NAME: <u>Ansaldo STS USA Inc. (US&amp;S)</u>	<u>Domestic</u>	<u>ATP/ASR (ATC System)</u>	<u>N/A</u>
ADDRESS: <u>1000 Technology Drive, Pittsburgh, PA 15219-3120</u>	<u></u>	<u></u>	<u></u>
NAME: <u>Siemens Infrastructure Inc. (PHW Inc.)</u>	<u>Domestic</u>	<u>ATP/ASR (ATC System)</u>	<u>N/A</u>
ADDRESS: <u>664 Linden Avenue, East Pittsburgh, PA 15112-1204 USA</u>	<u></u>	<u></u>	<u></u>
NAME: <u>GE Intelligent Transportation Solutions</u>	<u>Foreign</u>	<u>ATP/ASR (ATC System)</u>	<u>N/A</u>
ADDRESS: <u>2712 S. Dillingham, Grain Valley MO 64029 USA</u>	<u></u>	<u></u>	<u></u>

**Manufacturer Subcontractors/Suppliers**

(re: Section C5.15E notes the minimum “Major Equipment ” suppliers to be listed. Additional suppliers, if known, should be provided)

	<b><u>Domestic (D)</u></b> <b><u>Foreign (F)</u></b>	<b><u>Assignments</u></b>	<b><u>M/WBE Status</u></b>
NAME: Dilax	Foreign	Automatic PCS	N/A
ADDRESS: 6 Desaulniers, Suite 406, Saint-Lambert QC J4P 1L3 Canada			
NAME: Corys	Foreign	Training Simulator	N/A
ADDRESS: 44, rue des Berges, F-38024 Grenoble Cedex 01France			

If additional space is required, please enclose attachments to be submitted with your proposal.

# ACKNOWLEDGMENT OF ADDENDA

B-95

**SECTION B**  
**PART B TECHNICAL PROPOSAL AND**  
**STATEMENTS AND CERTIFICATIONS REGARDING ELIGIBILITY**

Acknowledgment of Addenda is hereby established below:

**ACKNOWLEDGMENT OF ADDENDA**

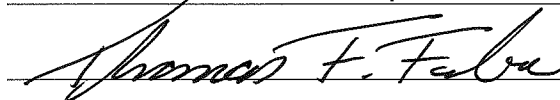
Addenda No.	Dated	Received
<u>1</u>	<u>January 10, 2014</u>	<u>January 10, 2014</u>
<u>2</u>	<u>January 14, 2014</u>	<u>January 14, 2014</u>
<u>3</u>	<u>January 24, 2014</u>	<u>January 24, 2014</u>
<u>4</u>	<u>January 27, 2014</u>	<u>January 27, 2014</u>
<u>5</u>	<u>January 30, 2014</u>	<u>January 30, 2014</u>
<u>6</u>	<u>February 29, 2014</u>	<u>February 29, 2014</u>
<u>7</u>	<u>March 04, 2014</u>	<u>March 04, 2014</u>

Failure to acknowledge receipt of all Addenda may cause the proposal to be considered non-responsive to the solicitation.

Acknowledgment of receipt of each Addendum must be duly established and included with this Offer (See the above table).

OFFEROR: Bombardier Transit Corporation

AUTHORIZED SIGNATURE:



NAME (PRINTED):

Thomas F. Faber

TITLE:

Business Development & Sales Executive

DATE:

May 13, 2014

Addenda No.	Dated	Received
8	April 1, 2014	April 1, 2014
9	April 3, 2014	April 3, 2014
10	April 14, 2014	April 14, 2014