

**RFP No. CAP 27-10 -  
Proposal for New Orange and Red Line Vehicles**

**PART B Volume 1**

Technical Proposal and Statements  
and Certifications Regarding Eligibility



**COPY**



**CSR Sifang JV**

CSR Qingdao Sifang Co. Ltd., & CSR America, Inc.,

*May 15, 2014*



**Massachusetts Bay  
Transportation Authority**

**massDOT**  
Massachusetts Department of Transportation

**COVER LETTER****Offerer's Name*****CSR Sifang JV – A Joint Venture***

CSR Qingdao Sifang Co., Ltd  
88, Jinhongdong Road  
Chengyang District, Qingdao  
266111, P.R. China  
Telephone +86.136.5866.1502  
Fax +86.532.6779.5239

CSR America, Inc  
300 N. LaSalle Street  
Suite 2240  
Chicago, IL 60654  
Telephone +1.312.527.0740  
Fax +1.312.527.3049

**Person Submitting Proposal**

Mr. Ma Qiang  
Assistant Director Marketing Department  
Overseas Business Division  
CSR Qingdao Sifang Co., Ltd.  
Phone +86.136.5866.1502  
[maqiang@cqsfc.com](mailto:maqiang@cqsfc.com)



Mr. Ma Qiang  
Authorized Signature for both Joint Venture Members

**Primary Point of Contact for MBTA in the USA**

Mr. Michael P. Pracht  
Proposal Manager  
840 Arbordale Lane  
Wexford, PA 15090  
Phone +1.412.417.5462  
Fax +1.724.934.9372  
[mike.pracht@prachtconsult.com](mailto:mike.pracht@prachtconsult.com)

**Secondary Point of Contact for MBTA in China**

Mr. Liu Tao  
Senior Project Manager  
88, Jinhongdong Road  
Chengyang District, Qingdao  
266111, P.R. China  
Phone +86.135.8935.1102  
[lt@cqsfc.com](mailto:lt@cqsfc.com)



南车青岛四方机车车辆股份有限公司

中国南车 CSR QINGDAO SIFANG CO., LTD.

地址: 中国青岛市城阳区锦宏东路88号 电话: 0532-87801188 传真: 0532-87801688  
邮编: 266111 http: www.cqsf.com

**POWER OF ATTORNEY**

KNOW ALL MEN BY THESE PRESENTS:

That, we, CSR QINGDAO SIFANG CO., LTD., a corporation incorporated and registered under the laws of the People's Republic of China, having its principal office at No.88 Jinhongdong Road, Chengyang District, Qingdao, China (hereinafter referred to as the "Company"), do hereby authorize the following person:

**Ni Shengyi**

Vice President, CSR Qingdao Sifang Co., Ltd.

Passport No.: P01672711

as the Company's true and lawful attorney in fact to act for the Company, on behalf of and in the name of the Company, by authorizing him to, in respect of the project for the Procurement of Orange Line Cars, Red Line Cars, Capital Spares, Manuals, Diagnostic Equipment and Training Aids for Boston metro by MBTA (RFP No. CAP 27-10), sign and submit the tender and all the related documents as well as all the documents and take all the actions related to the above-mentioned project, and to sign the contract and all the related documents and take all the related actions after the Company wins the bid.

IN WITNESS WHEREOF, the Company has caused this Power of Attorney to be executed in the name of the Company by the Chairman of the Board, Zhang Zaizhong as the legal representative of the Company, on Apr. 14, 2014.

**CSR QINGDAO SIFANG CO., LTD.**

Zhang Zaizhong, Board Chairman

People's Republic of China )  
Municipality of Beijing ) SS:  
Embassy of the )  
United States of America )

Subscribed and sworn to before me  
on 14 APR 2014 (date), by Zhang Zaizhong  
Colleen Smith  
Vice Consul

PRESIDENTIAL COMMISSIONS DO NOT EXPIRE

**POWER OF ATTORNEY**

KNOW ALL MEN BY THESE PRESENTS:

That, we, CSR QINGDAO SIFANG CO.,LTD., a corporation incorporated and registered under the laws of the People's Republic of China, having its principal office at No.88 Jinhongdong Road, Chengyang District, Qingdao, China (hereinafter referred to as the "Company"), do hereby authorize the following person:

**Li Yongle**

General Manager, Overseas Business Division, CSR Qingdao Sifang Co., Ltd.

Passport No.: P01466331

as the Company's true and lawful attorney in fact to act for the Company, on behalf of and in the name of the Company, by authorizing him to, in respect of the project for the Procurement of Orange Line Cars, Red Line Cars, Capital Spares, Manuals, Diagnostic Equipment and Training Aids for Boston metro by MBTA (RFP No. CAP 27-10), sign and submit the tender and all the related documents as well as all the documents and take all the actions related to the above-mentioned project, and to sign the contract and all the related documents and take all the related actions after the Company wins the bid.

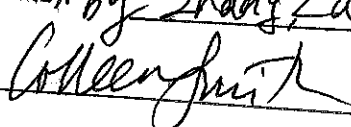
IN WITNESS WHEREOF, the Company has caused this Power of Attorney to be executed in the name of the Company by the Chairman of the Board, Zhang Zaizhong as the legal representative of the Company, on Apr. 14, 2014.

**CSR QINGDAO SIFANG CO., LTD.**



Zhang Zaizhong, Board Chairman

People's Republic of China )  
Municipality of Beijing ) SS:  
Embassy of the )  
United States of America )

Subscribed and sworn to before me  
on 14 APR 2014 (date). by Zhang Zaizhong  
Colleen Smith  
Vice Consul 

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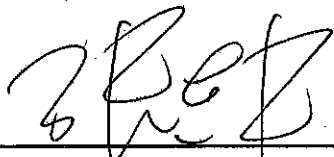
**Zhang Anying**

Deputy General Manager, Overseas Business Division, CSR Qingdao Sifang Co., Ltd.  
Passport No.: P01062929

as the Company's true and lawful attorney in fact to act for the Company, on behalf of and in the name of the Company, by authorizing him to, in respect of the project for the Procurement of Orange Line Cars, Red Line Cars, Capital Spares, Manuals, Diagnostic Equipment and Training Aids for Boston metro by MBTA (RFP No. CAP 27-10), sign and submit the tender and all the related documents as well as all the documents and take all the actions related to the above-mentioned project, and to sign the contract and all the related documents and take all the related actions after the Company wins the bid.

IN WITNESS WHEREOF, the Company has caused this Power of Attorney to be executed in the name of the Company by the Chairman of the Board, Zhang Zaizhong as the legal representative of the Company, on Apr. 14, 2014.

**CSR QINGDAO SIFANG CO., LTD.**

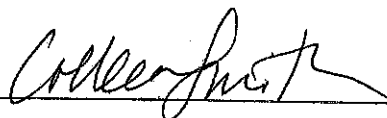


Zhang Zaizhong, Board Chairman

People's Republic of China )  
Municipality of Beijing ) SS:  
Embassy of the )  
United States of America )

Subscribed and sworn to before me  
on 14 APR 2014 (date), by Zaizhong Zhang

Colleen Smith  
Vice Consul



PRESIDENTIAL COMMISSIONS DO NOT EXPIRE



南车青岛四方机车车辆股份有限公司

中国南车 CSR QINGDAO SIFANG CO.,LTD.

地址: 中国青岛市城阳区锦宏东路88号 电话: 0532-87801188 传真: 0532-87801688  
邮编: 266111 http: www.cqsf.com

### POWER OF ATTORNEY

KNOW ALL MEN BY THESE PRESENTS:

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**Ma Qiang**

Assistant Director, Marketing Department, Overseas Business Division

CSR Qingdao Sifang Co., Ltd.

Passport No.: P01361525

as the Company's true and lawful attorney in fact to act for the Company, on behalf of and in the name of the Company, by authorizing him to, in respect of the project for the Procurement of Orange Line Cars, Red Line Cars, Capital Spares, Manuals, Diagnostic Equipment and Training Aids for Boston metro by MBTA (RFP No. CAP 27-10), sign and submit the tender and all the related documents as well as all the documents and take all the actions related to the above-mentioned project, and to sign the contract and all the related documents and take all the related actions after the Company wins the bid.

IN WITNESS WHEREOF, the Company has caused this Power of Attorney to be executed in the name of the Company by the Chairman of the Board, Zhang Zaizhong as the legal representative of the Company, on Apr. 14, 2014.

CSR QINGDAO SIFANG CO., LTD.

Zhang Zaizhong, Board Chairman

People's Republic of China )  
Municipality of Beijing ) SS:  
Embassy of the )  
United States of America )

Subscribed and sworn to before me  
on 14 APR 2014 (date). by Zhang, Zaizhong

Colleen Smith  
Vice Consul

PRESIDENTIAL COMMISSIONS DO NOT EXPIRE

PRESIDENTIAL COMMISSIONS DO NOT EXPIRE



## CSR America Incorporated

300 N LaSalle St. Ste 2240, Chicago, IL 60654, United States

### Unanimous Board Resolutions of

#### CSR America, Inc.

The undersigned, being all the directors of CSR America, Inc., a corporation incorporated and in good standing under the laws of the State of Illinois, having its principal office at 300 N. LaSalle Street, Suite 2240, Chicago, IL 60654 ("Company"), hereby adopts the following resolutions:

RESOLVED, that **Qiang Ma**, a Chinese citizen with the passport number of P01361525, is hereby authorized as the Company's true and lawful attorney in fact to act for the Company, on behalf of and in the name of the Company, by authorizing him to, in respect of the project for the Procurement of Orange Line Cars, Red Line Cars, Capital Spares, Manuals, Diagnostic Equipment and Training Aids for Boston metro by MBTA (RFP No. CAP 27-10), sign and submit the tender and all the related documents as well as all the documents and take all the actions related to the above-mentioned project, and to sign the contract and all the related documents and take all the related actions after the Company wins the bid.

Date: Apr. 14, 2014

Signature: \_\_\_\_\_

Name: Shengyi Ni

Title: Director, President



Signature: \_\_\_\_\_

Name: Yongle Li

Title: Director



## CSR America Incorporated

300 N LaSalle St. Ste 2240, Chicago, IL 60654, United States

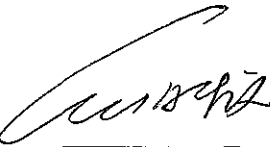
### Unanimous Board Resolutions of

### CSR America, Inc.

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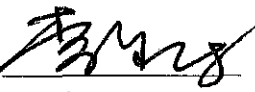
RESOLVED, that **Shengyi Ni**, a Chinese citizen with the passport number of P01672711, is hereby authorized as the Company's true and lawful attorney in fact to act for the Company, on behalf of and in the name of the Company, by authorizing him to, in respect of the project for the Procurement of Orange Line Cars, Red Line Cars, Capital Spares, Manuals, Diagnostic Equipment and Training Aids for Boston metro by MBTA (RFP No. CAP 27-10), sign and submit the tender and all the related documents as well as all the documents and take all the actions related to the above-mentioned project, and to sign the contract and all the related documents and take all the related actions after the Company wins the bid.

Date: Apr. 14, 2014

Signature: 

Name: Shengyi Ni

Title: Director, President

Signature: 

Name: Yongle Li

Title: Director





## CSR America Incorporated

300 N LaSalle St. Ste 2240, Chicago, IL 60654, United States

### Unanimous Board Resolutions of

### CSR America, Inc.

The undersigned, being all the directors of CSR America, Inc., a corporation incorporated and in good standing under the laws of the State of Illinois, having its principal office at 300 N. LaSalle Street, Suite 2240, Chicago, IL 60654 ("Company"), hereby adopts the following resolutions:

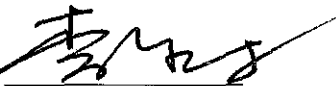
RESOLVED, that **Yongle Li**, a Chinese citizen with the passport number of P01466331, is hereby authorized as the Company's true and lawful attorney in fact to act for the Company, on behalf of and in the name of the Company, by authorizing him to, in respect of the project for the Procurement of Orange Line Cars, Red Line Cars, Capital Spares, Manuals, Diagnostic Equipment and Training Aids for Boston metro by MBTA (RFP No. CAP 27-10), sign and submit the tender and all the related documents as well as all the documents and take all the actions related to the above-mentioned project, and to sign the contract and all the related documents and take all the related actions after the Company wins the bid.

Date: Apr. 14, 2014

Signature: 

Name: Shengyi Ni

Title: Director, President

Signature: 

Name: Yongle Li

Title: Director



## CSR America Incorporated


300 N LaSalle St. Ste 2240, Chicago, IL 60654, United States

### Unanimous Board Resolutions of CSR America, Inc.

The undersigned, being all the directors of CSR America, Inc., a corporation incorporated and in good standing under the laws of the State of Illinois, having its principal office at 300 N. LaSalle Street, Suite 2240, Chicago, IL 60654 ("**Company**"), hereby adopts the following resolutions:

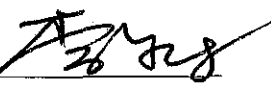
RESOLVED, that **Anying Zhang**, a Chinese citizen with the passport number of P01062929, is hereby authorized as the Company's true and lawful attorney in fact to act for the Company, on behalf of and in the name of the Company, by authorizing him to, in respect of the project for the Procurement of Orange Line Cars, Red Line Cars, Capital Spares, Manuals, Diagnostic Equipment and Training Aids for Boston metro by MBTA (RFP No. CAP 27-10), sign and submit the tender and all the related documents as well as all the documents and take all the actions related to the above-mentioned project, and to sign the contract and all the related documents and take all the related actions after the Company wins the bid.

Date: Apr. 14, 2014

Signature: 

Name: Shengyi Ni

Title: Director, President

Signature: 

Name: Yongle Li

Title: Director

**SECTION B**  
**PART B TECHNICAL PROPOSAL AND STATEMENTS AND**  
**CERTIFICATIONS REGARDING ELIGIBILITY**

**FORM OF TECHNICAL PROPOSAL AND**  
**STATEMENTS AND CERTIFICATIONS REGARDING ELIGIBILITY**

This constitutes the undersigned Offeror's Technical Proposal and Statements and Certifications Regarding Eligibility, including all requirements relating to Minority and Women Owned Business Enterprises (M/WBE) participation, for the base contract and options for furnishing and delivery of Orange Line Cars, Red Line Cars, Capital Spares, Manuals, Diagnostic Test Equipment and Training Aids, including all materials, services and costs for freight and insurance to MBTA Facilities in the greater Boston area, as provided in the contract provisions (Contract Provisions) of Request for Proposals No. CAP 27-10 (RFP), Specification No. VE-10-036 (Contract Specification), and all applicable Addenda (Solicitation Documents).

Proposal No. : No. CAP 27-10

Name of Offeror: CSR Qingdao Sifang Co., Ltd.

Business Address: No.88 Jinhongdong Road, Chengyang District, Qingdao, China

Address where Notification: No.88 Jinhongdong Road, Chengyang District, Qingdao, China  
should be sent

Telephone No.: +86 532 8780 5809 Facsimile Number: +86 8780 5810

E-mail No.: lt@cqs.com

The undersigned acknowledges that this Technical Proposal and Statements and Certifications Regarding Eligibility is prepared as if it is a best and final offer, and that the Authority has reserved the right to make award to offers submitted in response to the RFP without conducting negotiations and seeking best and final offers.

The undersigned acknowledges that the Technical Proposal and Statements and Certifications Regarding Eligibility shall be valid for one hundred and eighty (180) days from date of proposal submittal. If the Authority requires additional time for its review, the Authority reserves the right to extend the validity of the proposal in thirty (30) day increments. Prices submitted remain in effect as originally submitted.

This Proposal is made this 15 day of May, 201 4, and executed by the undersigned:



(IF AN INDIVIDUAL)

Signature of Offeror \_\_\_\_\_

Business Address \_\_\_\_\_

Federal Identification/Social Security No. \_\_\_\_\_

(IF A PARTNERSHIP)

Firm Name \_\_\_\_\_

BY\* \_\_\_\_\_

Title: \_\_\_\_\_

Business Address \_\_\_\_\_

Federal Identification/Social Security No. \_\_\_\_\_

Name(s) and Address(es) of all Partners of the Firm: \_\_\_\_\_

\_\_\_\_\_

(IF A CORPORATION)

Corporation Name CSR Qingdao Sifang Co., Ltd.BY\* Ma QiangTitle Assistant Director, Marketing Department, Overseas Business DivisionBusiness Address No.88 Jinhongdong Road, Chengyang District, Qingdao, ChinaFederal Identification/Social Security No. N/A

**\*IMPORTANT: As provided in Section A1.07B, attach to Cover Letter proof of authority of Officer or Agent to sign Proposal.**

**SECTION B**  
**PART B TECHNICAL PROPOSAL AND STATEMENTS AND**  
**CERTIFICATIONS REGARDING ELIGIBILITY**

**FORM OF TECHNICAL PROPOSAL AND**  
**STATEMENTS AND CERTIFICATIONS REGARDING ELIGIBILITY**

This constitutes the undersigned Offeror's Technical Proposal and Statements and Certifications Regarding Eligibility, including all requirements relating to Minority and Women Owned Business Enterprises (M/WBE) participation, for the base contract and options for furnishing and delivery of Orange Line Cars, Red Line Cars, Capital Spares, Manuals, Diagnostic Test Equipment and Training Aids, including all materials, services and costs for freight and insurance to MBTA Facilities in the greater Boston area, as provided in the contract provisions (Contract Provisions) of Request for Proposals No. CAP 27-10 (RFP), Specification No. VE-10-036 (Contract Specification), and all applicable Addenda (Solicitation Documents).

Proposal No. : No. CAP 27-10

Name of Offeror: CSR America, Inc.

Business Address: 300 N. LaSalle Street, Suite 2240, Chicago, IL60654

Address where Notification: 840 Arbordale Lane, Wexford, PA 15090  
should be sent

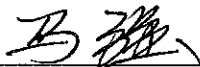
Telephone No.: +1 412 417 5462 Facsimile Number: +1 724 934 9372

E-mail No.: mike.pracht@prachtconsult.com

The undersigned acknowledges that this Technical Proposal and Statements and Certifications Regarding Eligibility is prepared as if it is a best and final offer, and that the Authority has reserved the right to make award to offers submitted in response to the RFP without conducting negotiations and seeking best and final offers.

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(IF AN INDIVIDUAL)

Signature of Offeror \_\_\_\_\_

Business Address \_\_\_\_\_

Federal Identification/Social Security No. \_\_\_\_\_

(IF A PARTNERSHIP)

Firm Name \_\_\_\_\_

BY\* \_\_\_\_\_

Title: \_\_\_\_\_

Business Address \_\_\_\_\_

Federal Identification/Social Security No. \_\_\_\_\_

Name(s) and Address(es) of all Partners of the Firm: \_\_\_\_\_

\_\_\_\_\_

(IF A CORPORATION)

Corporation Name CSR America, Inc.BY\* Ma QiangTitle Assistant Director, Marketing Department, Overseas Business Division,  
CSR Qingdao Sifang Co., Ltd.Business Address 300 N. LaSalle Street, Suite 2240, Chicago, IL 60654Federal Identification/Social Security No. 33-1218972

**\*IMPORTANT: As provided in Section A1.07B, attach to Cover Letter proof of authority of Officer or Agent to sign Proposal.**

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- B. Three Years Audited Financial Statement
- C. Code of Ethics

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- I. B-77, Security Requirements Certification
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- K. B-79, Certification regarding Debarment, Suspension and other Responsibility Matters
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- N. B-85, Intellectual Property License Agreement Certification
- O. B-90, Certification of Compliance with Regulation 102 CMR 12.00 Dependent Care Assistance Program including Child Care



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**VOLUME 2**

**TAB I.1 ATTACHMENTS: Drawings**